

IAIK – Security Target Version 1.8

IAIK-JCE CC Core 3.15 16 March 2007

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1 ST Introduction

1.1 ST Identification

Title:	IAIK-JCE CC Core Security Target
Version:	1.8
Date:	16 March 2007
Authors:	SIC
	Stiftung secure information and communication
	technologies. 1
	IAIK
	Institute for applied information processing and
	communications – Graz university of technology.
TOE:	IAIK-JCE CC Core
TOE version:	3.15
Assurance level:	EAL 3
	The TOE meets the assurance requirements of assurance
	level EAL 3.
Strength of functions:	The TOE s strength of functions is rated high (SOF high).
CC Identification:	Common Criteria for Information Technology Security
	Evaluation Version 2.3, August 2005 [CC]
Evaluation Body:	TÜV Informationstechnik GmbH
	Langemarckstraße 20
	45141 Essen, Germany
TOE documentation:	HTML Documentation - IAIK-JCE 3.15 with IAIK-JCE CC
	Core 3.15: Readme.html, File Revision 30 and linked
	documents
	IAIK – Guidance Document, Integrity Verification
	Guidance Version 1.7

1.2 ST Overview

The IAIK-JCE CC Core is a set of APIs and implementations of cryptographic functionality.

Including:

hash functions

- signature schemes
- block ciphers
- stream ciphers
- asymmetric ciphers
- message authentication codes
- random number generators

¹ The IAIK has established the "Stiftung Secure Information and Communication Technologies" (SIC). Stiftung SIC is a non-profit organisation which was established as a foundation for public utility, aiming at encouraging independent scientific research, development as well as teaching and knowledge transfer in the fields of applied information processing, communication and information security. On December 15, 2003 all rights regarding our crypto toolkits were transferred from IAIK to Stiftung SIC.

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It supplements the security functionality of the default Java™ Runtime Environment. The IAIK-JCE CC Core is delivered to the customer as part of the IAIK-JCE toolkit, which extends the CC Core by additional algorithms, features and protocols.

1.3 CC Conformance

The **TOE** is CC part 2 [CC2] extended (by FCS_RND.1) and CC Part 3 [CC3] conformant. The Evaluation Assurance Level is EAL3. This ST does not claim conformance with any Protection Profile.

2 TOE Description

2.1 Product type

The **TOE** is software written solely in the JavaTM Programming Language and delivered to users as part of a toolkit. This toolkit consists of a JavaTM library in form of JAR file, documentation and demo code. The **TOE** provides components usable to develop applications including functionality to create and verify digital signatures as well as encrypting and decrypting data.

The **TOE** is conformant to the JavaTM Cryptographic Architecture (JCA) and JavaTM Cryptographic Extensions (JCE) and implements a Cryptographic Service Provider as defined there. Applications access the cryptographic functionality of this provider through the JCA and JCE framework.

2.2 TOE structure

This section explains the structure of the **TOE**, its relationship and boundary to other components. Figure 1 shows a JavaTM Virtual Machine VM running an application that uses the **TOE**s cryptographic algorithms through the JCA/JCE framework.

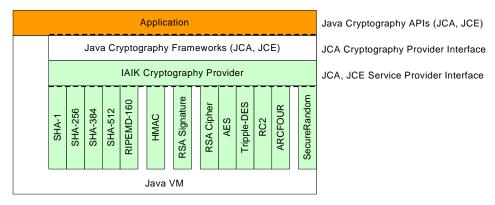


Figure 1: The TOE and its environment

The **TOE** implements a JavaTM Cryptographic Service Provider (used interchangeably with "provider" in this document) as defined in the JCA and JCE specification by SUN Microsystems. This provider implementation is called IAIK provider. The IAIK provider can be registered in the JCA framework. Thereafter, applications can access the cryptographic algorithms of the IAIK provider. For each cryptographic primitive the JCA and JCE provide a separate service provider interface (SPI), which is an abstract class. Each concrete implementation of a cryptographic algorithm must implement the SPI and thus derive the abstract class. For instance, the class of the **TOE** which contains the actual SHA-1 implementation extends the abstract class MessageDigestSPI. The **TOE** implements hash algorithms (also called message digest in the JCA context), signature algorithms (includes signature generation and verification), MACs, pseudo random generators and ciphers (includes block ciphers as well as stream ciphers and asymmetric ciphers).

The application can request an implementation of a certain algorithm from the JCA framework using static methods in framework classes. For example, to get an implementation of the SHA-1 hash algorithm of the IAIK provider, the application

calls MessageDigest.getInstance("SHA-1", "IAIK"). The names of the algorithms, like "SHA-1", are defined in the developers manual. The name of the provider is fixed to IAIK for the IAIK provider. The result is a MessageDigest object, which contains the SHA-1 implementation of the IAIK provider. The class MessageDigest of the JCA framework provides a common interface to all hash algorithms. For signature and cipher implementations, the workflow is similar. For a more detailed description of the JCA/JCE framework, please refer to [CRYPTO SPEC].

The **TOE** (IAIK-JCE CC Core) is delivered to the customer as part of the IAIK-JCE toolkit. This toolkit adds more algorithms, features and protocols to the **TOE** functionality.

2.3 General TOE functionality

The **TOE** provides cryptographic hash, message authentication code (MAC), digital signature and encryption related functionality, as well as deterministic random number generators DRNG.

2.3.1 Hash related functionality

The **TOE** provides implementations of algorithms used to calculate hash functions. There are several uses cases, when it is necessary to calculate a cryptographic hash function only, for instance, when using dedicated cryptographic hardware, like smart cards, to create digital signatures. Some of these hardware modules are not capable of computing the hash itself and therefore need the **TOE** to perform this task. Furthermore, the computation of a hash function will be used whenever the creation of the signature is a multistep process, where hashes of data to be signed are incorporated into a new structure (like CMS or XML-Dsig).

The **TOE** implements the following hash algorithms:

- SHA-1 [FIPS PUB 180-1]
- Ripemd-160 [ISO/IEC 10118-3]
- SHA-256 [FIPS PUB 180-2]
- SHA-384 [FIPS PUB 180-2]
- SHA-512 [FIPS PUB 180-2]

2.3.2 MAC related functionality

To compute a message authentication code the **TOE** uses the HMAC algorithm as defined in [RFC 2104]. This HMAC uses the following cryptographic hash functions:

- SHA-1 [FIPS PUB 180-1]
- Ripemd-160 [ISO/IEC 10118-3]
- SHA-256 [FIPS PUB 180-2]
- SHA-384 [FIPS PUB 180-2]
- SHA-512 [FIPS PUB 180-2]

as described in the previous chapter. The application must provide the secret key of size (128 + k * 8) bit \leq blocksize of the used hash function, with [k=0,1,2,...]. Smaller key sizes are supported as well, but they are not suitable for use in an environment that requires a high strength of functions.

2.3.3 Digital Signature related functionality

The **TOE** provides implementations of algorithms used to generate and verify digital signatures. Specifically, the **TOE** provides implementations of hash functions, asymmetric encryption algorithms and padding schemes and implements specific signature schemes. The included hash functions are the same as those listed in the previous section about hash functionality.

The **TOE** implements signature generation and verification according to the following digital signature schemes:

- RSA with SHA-1, SHA-256, SHA-384, SHA-512 or RIPEMD-160 according to [PKCS#1v1.5], with key lengths of 1024 + k * 64 [k=0,1,2,...] bit. The maximum key size is 8192 bit.
- RSA-PSS with SHA-1, SHA-256, SHA-384, SHA-512 or RIPEMD-160 according to [PKCS#1v2.1], with key lengths of 1024 + k * 64 [k=0,1,2,...] bit. The maximum key size is 8192 bit.

The **TOE** is designed to meet the requirements of an application for the generation and the verification of electronic signatures as defined in the legislation of the European Union [EU_directive].

Most of the signature algorithms support smaller key sizes as well, but they are not suitable for use in an environment that requires a high strength of functions.

2.3.4 Encryption functionality

The **TOE** implements several algorithms that can be used for data encryption and decryption. Key management is out of scope of the **TOE**. The application provides the keys to the **TOE**. The **TOE** does not modify the keys it gets from the application. The access protection of the memory where the keys reside must be provided by the environment, especially the JavaTM VM.

The **TOE** implements the following block ciphers:

- AES 128, 192, 256 bit [FIPS PUB 197]
- Triple-DES 112, 168 bit [FIPS 46-3]
- RC2 128-1024 bit [RFC 2268]

Each of these block ciphers can be used with the following modes of operation:

- ECB
- CBC
- OFB
- CFB

In addition, AES supports the CTR mode.

The **TOE** implements the following stream ciphers:

• ARCFOUR 128 – 2048 bit according to [IETF-Draft-Kaukonen]. This algorithm is assumed to be compatible with RC4TM from RSA Security Inc..

The **TOE** implements the following asymmetric ciphers:

- RSA 1024 + k * 64 [k=0,1,2,...] bit according to [PKCS#1v1.5]. The maximum key size is 8192 bit.
- RSA-OAEP 1024 + k * 64 [k=0,1,2,...] bit according to [PKCS#1v2.1]. The maximum key size is 8192 bit.

Most of the encryption algorithms support smaller key sizes as well, but they are not suitable for use in an environment that requires a high strength of functions.

2.3.5 Random Number Generator related functionality

The **TOE** contains two random number generators based on one of the following hash functions: SHA-1 [FIPS PUB 180-1], SHA-256 [FIPS PUB 180-2], SHA-384 [FIPS PUB 180-2], SHA-512 [FIPS PUB 180-2] or RIPEMD-160 [ISO/IEC 10118-3]. The random number generator must be initialized with a random seed with adequate entropy.

2.3.6 TOE Boundary

In principle, the **TOE** has two boundaries. The first is the interaction with the JavaTM VM and its JavaTM Runtime Environment JRE and JCE classes. The **TOE** assumes that these operate compliant with the JavaTM Language Specification 2.0 and the JavaTM Virtual Machine Specification, Second Edition.

The second boundary is between the **TOE** and the application. It is worth to note that this is not a direct boundary. The application only accesses classes of the JCA and JCE framework directly, and these classes forward requests to the **TOE**. The JCA and JCE classes are part of the environment and the **TOE** assumes that they operate according to the JCA Specification of JavaTM 1.1 [JCA1.1-REF] (or later) and the JCE Specification 1.2 [JCE1.2-REF] (or later). The **TOE** does not have any direct interfaces to any component other than the application, the JCA and JCE classes or the JRE classes (like e.g. the operating system or other applications). The **TOE** does also not initiate any I/O operations like file access or network connections.

The **TOE** is able to support the generation of digital signatures. From the application's point of view, two use-cases can be identified:

- Electronic Signatures with Signature Creation Device.

 The **TOE** is used together with a signature creation device (SCD) to create electronic signatures. In this case, the **TOE** is used only to calculate the hash of the data to be signed (and only if the SCD is unable to do so by itself). The **TOE** calculates the hash and returns it to the application. The application can pass this hash value to the SCD to process the private key operation. It may access such cryptographic hardware e.g. via the PKCS#11 API. Prompting a PIN or pass phrase for access to the private key, will usually be done with a smart card reader or HSM which has its own key pad for entering this authentication data. Displaying data to be signed or verified is out of scope of the **TOE**.
- Conventional Signatures.
 The TOE is used without hardware support to create electronic signatures. In this case, all calculations required to create the signature are done within the TOE. In specific, the JavaTM VM (with its JRE classes) executes the code of the TOE, which implements all required cryptographic algorithms.

Furthermore, the **TOE** has functionality that is not part of the evaluation:

- The **TOE** supports more key sizes than the minimum and maximum key size, which are described in chapters 2.3.2, 2.3.3 and 2.3.4. The maximum key size depends on the system resources only. Moreover, the **TOE** can also work with odd key sizes, e.g. 1025 bit RSA keys.
- The **TOE** supports CTR as mode of operation for Triple DES and RC2. In addition, the IAIK-JCE toolkit, which contains the **TOE**, offers more functionality that is not part of the **TOE**:

- Additional cipher algorithms
- Additional modes of operation for block ciphers
- Additional padding schemes
- Additional signature schemes
- Additional hash functions
- Additional random generators
- Additional MAC algorithms
- Key generation
- Key-Pair generation
- Key agreement algorithms
- Algorithm parameter generation
- Key storage
- ASN.1 support
- Support for additional PKCS standards
- X.509 support for certificates, CRLs and OCSP

2.3.7 TOE Environment

The application, the JRE classes, the JCA and JCE framework and the JavaTM VM constitute the environment of the **TOE**. The **TOE** is written in the JavaTM Programming Language only and runs in the same instance of the JavaTM VM as the environment. All components communicate by method calls executed by the JavaTM VM. No other communication techniques are used at the interfaces. In particular, the **TOE** does not perform any I/O operation, like file or network access. The **TOE** requires the JavaTM VM in use to operate as defined in one of the following specifications:

- JVM Specification 1.0.2 [JVMSpec1] with the Java[™] Platform 1.1 API [JavaAPI1.1] and JCE 1.2.x ([JCE1.2-REF], [JCE1.2.1-REF] or [JCE1.2.2-REF])
- JVM Specification 1.2 [JVMSpec2] with one of the following APIs:
 - o J2SE 5.0 [JavaAPI5]
 - o J2SE 1.4.x [JavaAPI1.4]
 - o J2SE 1.3.x [JavaAPI1.3] and JCE 1.2.x ([JCE1.2-REF], [JCE1.2.1-REF] or [JCE1.2.2-REF])
 - J2SE 1.2.x [JavaAPI1.2] and JCE 1.2.x ([JCE1.2-REF], [JCE1.2.1-REF] or [JCE1.2.2-REF])

Only the administrator can install and modify the environment and the **TOE**.

3 TOE Security Environment

The statement of **TOE** security environment describes the security aspects of the environment in which the **TOE** is intended to be used and the manner in which it is expected to be employed.

To this end, the statement of **TOE** security environment identifies and lists the assumptions made on the operational environment (including physical and procedural measures), states the intended method of use of the product, defines the threats that the product is designed to counter.

3.1 Assumptions

Assumption	Definition	Security Objectives
A.Protection	Protection.	OE.EnvironmentIntegrity,
		OE.EnvironmentProtection
	The TOE and its environment are	
	protected in such a way that it is	
	impossible for S.Attacker to read	
	or modify any data managed by	
	the TOE , i.e. objects defined in	
	chapter 3.4.2.	
A.Train	Administrators (S.Admin) are	OE.TOEIntegrity
	assumed to be suitably qualified	
	to set up the system and to verify	
	the TOE integrity.	
A.Manual	S.Developer uses the TOE in the	OE.ExecutionEnvironment,
	right way as described in the	OE.TOE_Usage
	manual. In order to reach SOF	
	high, the S.Developer must use	
	the key sizes recommend in the	
	manual.	
A.SeedManagement	SeedGeneration.	OE.SuitableSeed,
		OE.SeedProtection
	The IT-Environment must	
	provide a suitable seed for the	
	RandomNumberGenerator.	
	Furthermore it must ensure that	
	the seed is kept secret.	

A.KeyManagement	Key Management.	OE.KeyProtection,
		OE.CorrectKeys
	The IT-Environment is	
	responsible for key management.	
	Key management is out of scope	
	of the TOE . O.PrivateKey and	
	O.SecretKey, needed for	
	computation of O.CipherText,	
	O.MAC and O.Signature, must	
	be provided by S.Application.	
	The TOE does not generate or	
	destruct keys. Given key material	
	won't be modified or stored by	
	the TOE.	
A.Java_Spec	Java TM Specification.	OE.ExecutionEnvironment
	The S.Admin or S.Developer has	
	to install a Java TM VM that works	
	according the JVM Specification	
	V 1.0.2 [JVMSpec1] with the	
	API of Java TM 1.1 [JavaAPI1.1]	
	or JVM 1.2 [JVMSpec2] with	
	one of the following APIs:	
	• J2SE 5.x [JavaAPI5]	
	• J2SE 1.4.x [JavaAPI1.4]	
	• J2SE 1.3.x [JavaAPI1.3]	
	• J2SE 1.2.x [JavaAPI1.2]	
A.JCE_Spec	JCE Specification.	OE.ExecutionEnvironment
	If the Java TM API in use is older	
	than version 1.4 [JavaAPI1.4]	
	(1.1.x [JavaAPI1.1], 1.2.x	
	[JavaAPI1.2] or 1.3.x	
	[JavaAPI1.3]) the	
	S.Admin/S.Developer has to	
	install a JCE framework that	
	works according to the JCE 1.2	
	[JCE1.2-REF], JCE 1.2.1	
	[JCE1.2.1-REF] or JCE 1.2.2	
	[JCE1.2.2-REF] specification.	

Table 1 Assumptions

3.2 Threats

Threat	Definition	Security Objectives
T.SignatureForgery	S.Attacker could forge	OT.SignatureSecure,
	O.Signature or recover	OE.EnvironmentProtection
	O.PrivateKey from	
	O.Signature.	
T.DeduceData	S.Attacker could deduce	OT.CipherSecure,
	O.Data from O.CipherText.	OE.EnvironmentProtection

T.DeduceKey	S.Attacker could deduce	OT.CipherSecure,
	O.SecretKey from	OE.EnvironmentProtection
	O.CipherText.	
T.DeduceRandomSeed	S.Attacker could deduce	OT.RandomSecure,
	O.RandomSeed.	OE.EnvironmentProtection
T.PredictRandomNumber	S.Attacker could predict the	OT.RandomSecure
	next generated	
	O.RandomNumber.	
T.MACForgery	S.Attacker could forge	OT.MACSecure,
	O.MAC or recover	OE.EnvironmentProtection
	O.SecretKey.	
T.HashForgery	S.Attacker could find	OT.HashSecure
	collisions to O.Hash	

Table 2 Threats

3.3 Organization Security Policies

There are no organizational security policies with which the **TOE** must comply.

3.4 Subjects, Objects

3.4.1 Subjects

Subject	Definition	
S.Admin	User who is in charge to perform the TOE installation	
	and TOE configuration.	
S.Developer	User who is in charge to use the TOE for developing	
	his Application (S.Application).	
S.Application	The surrounding application which is using the TOE .	
S.JavaVM	Java TM Virtual Machine.	
S.Attacker	A human or a process outside the TOE whose main	
	goal is to access Application sensitive information.	
	For functions with SOF-high claim the attacker has a	
	high attack potential and no time limit. For all other	
	functions the TOE has no obvious vulnerabilities that	
	are exploitable by attackers possessing low attack	
	potential.	

Table 3 Subjects

3.4.2 Objects

Object	Definition
O.Data	Private data obtained from the S.Application (e.g. Data
	to be signed).
O.MAC	MAC generated by the TOE .
O.Hash	Hash generated by the TOE .
O.Signature	Signature generated by the TOE .
O.CipherText	The cipher text generated by the TOE .
O.PrivateKey	Private Key Data which the TOE uses to generate
	O.Signature (e.g. RSA Private key).

O.SecretKey	Secret Key Data which the TOE uses to encrypt
	O.Data and/or decrypt O.CipherText (e.g. AES key).
O.RandomSeed	The seed (initial state) used by the DRNG
O.RandomNumber	The random number generated by the TOE

Table 4 Objects

4 Security Objectives

4.1 Security Objectives for the TOE

Security Objective	Definition	Threats
OT.SignatureSecure	Signature Secure.	T.SignatureForgery
	The TOE shall generate and validate O.Signature. The TOE uses robust algorithms to ensure that the signature cannot be forged or O.PrivateKey cannot be reconstructed from O.Signature.	
OT.CipherSecure	Data Privacy.	T.DeduceData,
		T.DeduceKey
	The TOE shall generate secure O.CipherText from O.Data by encryption with O.SecretKey or O.Data from O.CipherText by decryption with O.SecretKey. The use of robust algorithms and appropriate key sizes ensures that O.SecretKey, O.Data or O.CipherText cannot be deduced.	
OT.RandomSecure	The TOE shall generate unpredictable O.RandomNumber. O.RandomSeed cannot be deduced.	T.DeduceRandomSeed T.PredictRandomNumber
OT.MACSecure	MAC Secure. The TOE shall generate and validate O.MAC. It uses robust MAC algorithms, that cannot be forged. Furthermore O.SecretKey cannot be extracted from O.MAC.	T.MACForgery
OT.HashSecure	Secure hash algorithms. The TOE shall generate secure O.Hash.	T.HashForgery

Table 5 Security Objectives for the TOE

4.2 Security Objectives for the Environment

Security Objective	Definition	Assumptions / Threats
OE.TOEIntegrity	S.Admin or S.Developer	A.Train
	must be sufficiently	
	trained to set up the	
	system and shall verify	
	the integrity of the TOE	
	by comparing the SHA-1	
	fingerprint of the	
	delivered ZIP file with	
	the fingerprint obtained	
	by an independent secure	
	delivery from the	
	manufacturer.	
OE.EnvironmentIntegrity	Access Protected.	A.Protection
	The Environment	
	(S.Admin and	
	S.Developer) shall	
	ensure that only	
	S.Application,	
	S.Developer, S.Admin or	
	S.JavaVM has access to	
	the TOE .	
OE.KeyProtection	Key Protection.	A.KeyManagement
	The Environment	
	(S.Developer,	
	S.Application and	
	S.JavaVM) must protect	
	the keys from	
OF Commont Vavia	unauthorized access.	A VavManagament
OE.CorrectKeys	Correct Keys.	A.KeyManagement
	The Environment	
	(S.Application) must	
	provide well formed and	
	valid keys to the TOE .	
OE.SuitableSeed	Suitable Seed. The	A.SeedManagement
	Environment	-
	(S.Application) must	
	provide a suitable seed	
	to the TOE .	
OE.SeedProtection	Seed Protection.	A.SeedManagement
	The Environment	-
	(S.Application and	
	S.JavaVM) must protect	
	the seed from	
	unauthorized access.	

	T	T
OE.ExecutionEnvironment	Execution Environment.	A.Java_Spec, A.JCE_Spec,
		A.Manual
	The Environment	
	(S.Admin and	
	S.JavaVM) must provide	
	an execution	
	environment that meets	
	the requirements (see	
	chap. 2.3.7).	
OE.EnvironmentProtection	Side Channel.	A.Protection,
		T.SignatureForgery,
	The Environment	T.DeduceData, T.DeduceKey,
	(S.Admin and	T.DeduceRandomSeed,
	S.JavaVM) must protect	T.MACForgery
	the TOE against side	
	channel attacks.	
OE.TOE_Usage	TOE Usage.	A.Manual
	The S.Application uses	
	the TOE according to	
	the manual.	

Table 6 Security Objectives for the Environment

5 IT Security Requirements

5.1 TOE Security Functional Requirements

This chapter defines the functional requirements for the **TOE** using functional components drawn from [CC2] and the extended component FCS RND.1/HashRandom.

The minimum strength level for the **TOE** security functional requirements FCS_COP.1/SHA-1, FCS_COP.1/SHA-265, FCS_COP.1/SHA-384, FCS_COP.1/SHA-512, FCS_COP.1/RIPEMD-160, FCS_RND.1/HashRandom, FCS_RND.1/FipsRandom and FCS_COP.1/HMAC is **SOF-high**. According to [CC1] the strength of cryptographic algorithms is outside the scope of

According to [CC1] the strength of cryptographic algorithms is outside the scope of the CC evaluation.

5.1.1 Cryptographic support (FCS)

Cryptographic operation FCS_COP.1/SHA-1

The TSF shall perform *Secure hash computation* in accordance with a specified cryptographic algorithm *SHA-1* and cryptographic key sizes *none* that meet the following: *FIPS PUB 180-1*.

Cryptographic operation FCS_COP.1/SHA-256

The TSF shall perform *Secure hash computation* in accordance with a specified cryptographic algorithm *SHA-256* and cryptographic key sizes *none* that meet the following: *FIPS PUB 180-2*.

Cryptographic operation FCS_COP.1/SHA-384

The TSF shall perform *Secure hash computation* in accordance with a specified cryptographic algorithm *SHA-384* and cryptographic key sizes *none* that meet the following: *FIPS PUB 180-2*.

Cryptographic operation FCS_COP.1/SHA-512

The TSF shall perform *Secure hash computation* in accordance with a specified cryptographic algorithm *SHA-512* and cryptographic key sizes *none* that meet the following: *FIPS PUB 180-2*.

Cryptographic operation FCS COP.1/RIPEMD-160

The TSF shall perform *Secure hash computation* in accordance with a specified cryptographic algorithm *RIPEMD-160* and cryptographic key sizes *none* that meet the following: *ISO/IEC 10118-3:1998*.

Cryptographic operation FCS_COP.1/AES

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *AES ECB/CBC/OFB/CFB/CTR Mode* and cryptographic key sizes *128 bit*, *192 bit*, *256 bit* that meet the following: *FIPS PUB-197*.

Cryptographic operation FCS_COP.1/TripleDES

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *Triple-DES ECB/CBC/OFB/CFB Mode* and cryptographic key sizes *112 bit*, *168 bit* that meet the following: *FIPS PUB 46-3*.

Cryptographic operation FCS_COP.1/RC2

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *RC2 ECB/CBC/OFB/CFB Mode* and cryptographic key sizes *128 - 1024 bit* that meet the following: RFC 2268.

Cryptographic operation FCS_COP.1/ARCFOUR

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *ARCFOUR* and cryptographic key sizes *128 - 2048 bit* that meet the following: [IETF-Draft-Kaukonen].

Cryptographic operation FCS_COP.1/RSACipher

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *RSA* and cryptographic key sizes (1024 + k * 64) bit - 8192 bit max., [k=0,1,2,...] that meet the following: *PKCS#1 v1.5*.

Cryptographic operation FCS_COP.1/RSACipherOAEP

The TSF shall perform *Data encryption and decryption* in accordance with a specified cryptographic algorithm *RSA* and cryptographic key sizes (1024 + k * 64) bit – 8192 bit max., [k=0,1,2,...] that meet the following: *PKCS#1 v2.1 OAEP*.

Cryptographic operation FCS_COP.1/RSASignature

The TSF shall perform *Digital signature generation and verification* in accordance with a specified cryptographic algorithm RSA signature and cryptographic key sizes (1024 + k * 64) bit -8192 bit max., [k=0,1,2,...] that meet the following: PKCS#1 v1.5 in combination with $FCS_COP.1/SHA-1$, $FCS_COP.1/SHA-256$, $FCS_COP.1/SHA-384$, $FCS_COP.1/SHA-512$ and $FCS_COP.1/RIPEMD-160$.

Cryptographic operation FCS_COP.1/RSASignaturePSS

The TSF shall perform *Digital signature generation and verification* in accordance with a specified cryptographic algorithm *RSA* signature and cryptographic key sizes (1024 + k * 64) bit – 8192 bit max., [k=0,1,2,...] that meet the following: *PKCS#1 v2.1 PSS in combination with FCS_COP.1/SHA-1*, FCS_COP.1/SHA-256, FCS_COP.1/SHA-384, FCS_COP.1/SHA-512 and FCS_COP.1/RIPEMD-160.

Quality metrics for random numbers FCS RND.1/HashRandom

The TSF shall provide a mechanism to generate random numbers that meet *the functionality class K3 according to AIS20*.

The TSFs shall be able to enforce the use of TSF-generated random numbers for *TSF.Random*.

Note: The implementation must be according to example E.5 of AIS20. Possible hash functions for generating random numbers are: SHA-1 [FIPS PUB 180-1], RIPEMD-

160 [ISO/IEC 10118-3], SHA-256 [FIPS PUB 180-2], SHA-384 [FIPS PUB 180-2], and SHA-512 [FIPS PUB 180-2].

Quality metrics for random numbers FCS_RND.1/FipsRandom

The TSF shall provide a mechanism to generate random numbers that meet *the functionality class K4 according to AIS20*.

The TSFs shall be able to enforce the use of TSF-generated random numbers for *TSF.Random*.

Note: The implementation must be according to FIPS PUB 186-2. Possible hash functions for generating random numbers are: SHA-1 [FIPS PUB 180-1], RIPEMD-160 [ISO/IEC 10118-3], SHA-256 [FIPS PUB 180-2], SHA-384 [FIPS PUB 180-2], and SHA-512 [FIPS PUB 180-2].

Cryptographic operation FCS_COP.1/HMAC

The TSF shall perform MAC generation and verification in accordance with a specified cryptographic algorithm HMAC with SHA-1, SHA-256, SHA-384, SHA-512, RipeMD-160 and cryptographic key sizes (128+k*8)bit <= block size of the used hash function [k=0,1,2,...] that meet the following: RFC 2104.

5.1.2 User Data Protection (FDP)

Import of user data without security attributes FDP_ITC.1

• FDP ITC.1.1

The TSF shall enforce the *JVM-Policy* when importing user data, controlled under the SFP, from outside of the TSC.

• FDP_ITC.1.2

The TSF shall ignore any security attributes associated with the user data when imported from outside the TSC.

• FDP ITC.1.3

The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TSC: *none*.

Note: *JVM-Policy*: The private keys and user data, used for computation, are given as arguments to the **TOE**. The **TOE** does not provide any functionality to access any copies of key material used by the **TOE**, not even the application has access to these copies. Since the environment, especially the **S.JavaVM**, protects access to the memory where these copies reside, there are no means for attackers to get access to these copies. Moreover, the **S.JavaVM** guarantees that memory areas are zeroed out before they are reclaimed and assigned for reuse. With this zero-out, any key copies are destructed. The **TOE** does not modify the original key objects nor does it destruct them, it only accesses them in a read-only fashion.

5.2 TOE Security Assurance Requirements

Assurance Class	Assurance Components
ACM	ACM_CAP.3
	ACM_SCP.1

ADO	ADO_DEL.1
TIDO .	_
	ADO_IGS.1
ADV	ADV_FSP.1
	ADV_HLD.2
	ADV_RCR.1
AGD	AGD_ADM.1
	AGD_USR.1
ALC	ALC_DVS.1
ATE	ATE_COV.2
	ATE_DPT.1
	ATE_FUN.1
	ATE_IND.2
AVA	AVA_MSU.1
	AVA_SOF.1
	AVA_VLA.1

Table 7 Assurance Requirements (EAL3)

5.2.1 Configuration management (ACM)

Authorisation controls (ACM_CAP.3)

TOE CM coverage (ACM_SCP.1)

5.2.2 Delivery and operation (ADO)

Delivery procedures ADO_DEL.1

Installation, generation, and start-up procedures (ADO_IGS.1)

5.2.3 Development (ADV)

Informal functional specification (ADV_FSP.1)

Security enforcing high-level design (ADV_HLD.2)

Informal correspondence demonstration (ADV_RCR.1)

5.2.4 Guidance documents (AGD)

Administrator guidance (AGD_ADM.1)

User guidance (AGD_USR.1)

5.2.5 Life cycle support (ALC)

Identification of security measures (ALC_DVS.1)

5.2.6 Tests (ATE)

Analysis of coverage (ATE_COV.2)

Testing: high-level design (ATE_DPT.1)

Functional testing (ATE_FUN.1)

Independent testing – sample (ATE_IND.2)

5.2.7 Vulnerability assessment (AVA)

Examination of guidance (AVA MSU.1)

Strength of TOE security function evaluation (AVA_SOF.1)

Developer vulnerability analysis (AVA_VLA.1)

5.3 Security Requirements for the Environment

5.3.1 General Requirements for the Environment

R.EnvironmentIntegrity

The Environment (S.Admin and S.Developer) shall ensure that only S.Application, S.Developer, S.Admin or S.JavaVM has access to the **TOE**.

R.KeyProtection

The Environment (S.Developer, SApplication and S.JavaVM) must protect the keys from unauthorized access.

R.CorrectKeys

The Environment (SApplication) must provide well-formed and valid keys to the **TOE**.

R.SuitableSeed:

The Environment (SApplication) has to provide a random seed offering suitable entropy and the length of the seed should be at least half the size of the hash value; e.g. s0 should have at least 80 bits if the pseudo random is based on the SHA-1 hash, which produces 160 bit hash values.

R.SeedProtection

The Environment (SApplication and S.JavaVM) must protect the seed from unauthorized access.

R.ExecutionEnvironment

The Environment (S.Admin and S.JavaVM) must provide an execution environment that meets the requirements (see chap. 2.3.7).

R.EnvironmentProtection

The Environment (S.Admin and S.JavaVM) must protect the **TOE** against side channel attacks.

R.TOE Usage

The S.Application uses the **TOE** according to the S.Manual.

R.TOEIntegrity

S.Admin or S.Developer must be sufficiently trained to set up the system and shall verify the integrity of the **TOE** by comparing the SHA-1 fingerprint of the delivered ZIP file with the fingerprint obtained by an independent secure delivery from the manufacturer.

5.3.2 Security Requirements for the IT Environment

Note: In this chapter (within the Security Requirements for the IT environment drawn from CC Part 2 [CC2]) the phrases "The TSF shall" are replaced by "The IT environment shall" to clearly indicate that the IT environment, not the **TOE**, will meet the requirement. The IT Environment is the S.JavaVM and/or the S.Application.

Subset residual information protection (FDP_RIP.1)

FDP RIP.1.1

The IT environment shall ensure that any previous information content of a resource is made unavailable upon the de-allocation of the resource from the following objects: *seed*.

Cryptographic key generation (FCS_CKM.1)

FCS CKM.1/AES

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm *FIPS PUB 197* and specified cryptographic key sizes *128*, *192*, *256 bit* that meet the following: *FIPS PUB 197*.

FCS_CKM.1/TripleDES

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm *FIPS 46-3* and specified cryptographic key sizes *112*, *168 bit* that meet the following: *FIPS 46-3*.

FCS CKM.1/RC2

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm *RFC 2268* and specified cryptographic key sizes *128-1024 bit* that meet the following: *RFC 2268*.

FCS CKM.1/ARCFOUR

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm *IETF-Draft-Kaukonen* and specified cryptographic key sizes *128-2048 bit* that meet the following: *IETF-Draft-Kaukonen*.

FCS_CKM.1/RSACipher

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm PKCS#Iv1.5 and specified cryptographic key sizes (1024 + k * 64) - 8192 bit, [k=0,1,2,...] that meet the following: PKCS#Iv1.5.

FCS_CKM.1/RSACipherOAEP

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm PKCS#Iv2.1 and specified cryptographic key sizes (1024 + k * 64) - 8192 bit, [k=0,1,2,...] that meet the following: PKCS#Iv2.1.

FCS CKM.1/RSASignature

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm PKCS#Iv1.5 and specified cryptographic key sizes (1024 + k * 64) - 8192 bit, [k=0,1,2,...] that meet the following: PKCS#Iv1.5.

FCS CKM.1/RSASignaturePSS

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm PKCS#Iv2.1 and specified cryptographic key sizes (1024 + k * 64) - 8192 bit, [k=0,1,2,...] that meet the following: PKCS#Iv2.1.

FCS_CKM.1/HMAC

The IT environment shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm *not applicable* and specified cryptographic key sizes of *at least 128 bit* that meet the following: *RFC2104*.

Note: As described in RFC 2104 any byte array can be used as key. Thus there is no need to specify a key generation algorithm. The key length should be at least 128 bit to prevent a brute-force key search.

Cryptographic key destruction (FCS CKM.4)

FCS CKM.4/AES

The IT environment shall destroy cryptographic keys in accordance with a specified cryptographic key destruction method *zeroing out memory areas* that meets the following: *JVMSpec1*, *JVMSpec2*.

FCS_CKM.4/TripleDES

Analogous to FCS_CKM.4/AES.

FCS_CKM.4/RC2

Analogous to FCS_CKM.4/AES.

FCS CKM.4/ARCFOUR

Analogous to FCS_CKM.4/AES.

FCS CKM.4/RSACipher

Analogous to FCS_CKM.4/AES.

FCS_CKM.4/RSACipherOAEP

Analogous to FCS_CKM.4/AES.

FCS_CKM.4/RSASignature

Analogous to FCS_CKM.4/AES.

FCS_CKM.4/RSASignaturePSS

Analogous to FCS_CKM.4/AES.

FCS_CKM.4/HMAC

Analogous to FCS_CKM.4/AES.

6 TOE Summary Specification

6.1 TOE Security Functions

6.1.1 TSF.Hash (SOF-high)

The **TOE** is capable of computing a cryptographic hash function (also called message digest in this context). A message digest algorithm represents the functionality of an one-way hash function for computing a fixed sized data value (message digest, hash) from input data of arbitrary size. The length of the resulting hash value usually is shorter than the length of the input data. Using a one-way hash function will make it easy to compute the hash from the given data, but hard to go the reverse way for calculating the input data when only the hash is known. Furthermore, a proper hash function should avoid any collision, meaning that it has to be hard to find two different messages producing the same hash value. The following hash algorithms are implemented:

FCS_COP.1/SHA-1 (SOF-high):

An implementation of the *SHA-1* hash algorithm according to *FIPS PUB 180-1* solely written in the JavaTM Programming Language.

FCS_COP.1/SHA-256 (SOF-high):

An implementation of the *SHA-256* hash algorithm according to *FIPS PUB 180-2* solely written in the JavaTM Programming Language.

FCS_COP.1/SHA-384 (SOF-high):

An implementation of the *SHA-384* hash algorithm according to *FIPS PUB 180-2* solely written in the JavaTM Programming Language.

FCS COP.1/SHA-512 (SOF-high):

An implementation of the *SHA-512* hash algorithm according to *FIPS PUB 180-2* solely written in the JavaTM Programming Language.

FCS_COP.1/RIPEMD-160 (SOF-high):

An implementation of the *RIPEMD-160* hash algorithm according to *ISO/IEC 10118-3:1998* solely written in the JavaTM Programming Language.

6.1.2 TSF.Cipher

The **TOE** offers functionality to decrypt and encrypt data. These functions can be subdivided into symmetric and asymmetric functions.

6.1.2.1 Symmetric Functions:

The **TOE** provides symmetric block ciphers for data encryption and decryption. Symmetric ciphers use a shared secret for decryption and encryption. Additionally these ciphers can be used in various modes of operations like ECB, CBC, OFB and CFB. The following symmetric algorithms are implemented:

FCS COP.1/AES:

An implementation of *AES data encryption and decryption* in *ECB/CBC/OFB/CFB/CTR Mode* with *128*, *192*, *256* bit key size according to *FIPS PUB-197* solely written in the JavaTM Programming Language.

FCS_COP.1/TripleDES:

An implementation of *Triple-DES data encryption and decryption* in *ECB/CBC/OFB/CFB Mode* with *112*, *168* bit key size according to *FIPS PUB 46-3* solely written in the JavaTM Programming Language.

FCS COP.1/RC2:

An implementation of *RC2 data encryption and decryption* in *ECB/CBC/OFB/CFB Mode* with *128-1024* bit key size according to *RFC2268* solely written in the JavaTM Programming Language.

FCS_COP.1/ARCFOUR:

An implementation of *ARCFOUR data encryption and decryption* with *128-2048* bit key size according to [**IETF-Draft-Kaukonen**] solely written in the JavaTM Programming Language.

6.1.2.2 Asymmetric Functions:

In contrast to the symmetric ciphers, asymmetric techniques use two different keys to encrypt and decrypt the data. The **TOE** implements the following asymmetric encryption schemes:

FCS_COP.1/RSACipher:

An implementation of *RSA data encryption and decryption* with (1024 + k * 64) - 8192 bit max., [k=0,1,2,...] bit key size according to *PKCS#1 v1.5* solely written in the JavaTM Programming Language.

FCS COP.1/RSACipherOAEP:

An implementation of **RSA data encryption and decryption** with (1024 + k * 64) - 8192 bit max.,[k=0,1,2,...] bit key size according to **PKCS#1 v2.1 OAEP** solely written in the JavaTM Programming Language.

6.1.3 TSF.Signature

The **TOE** can be used to generate and validate digital signatures according to the following schemes:

FCS_COP.1/RSASignature:

A implementation of RSA signature generation and verification with (1024 + k * 64) - 8192 bit max., [k=0,1,2,...] bit key size according to PKCS#1 v1.5 in combination with FCS_COP.1/SHA-1, FCS_COP.1/SHA-256, FCS_COP.1/SHA-384, FCS_COP.1/SHA-512 and FCS_COP.1/RIPEMD-160 solely written in the JavaTM Programming Language.

FCS COP.1/RSASignaturePSS:

A implementation of RSA signature generation and verification with (1024 + k * 64) - 8192 bit max., [k=0,1,2,...] bit key size according to PKCS#1 v2.1 PSS in combination with FCS_COP.1/SHA-1, FCS_COP.1/SHA-256, FCS_COP.1/SHA-

384, $FCS_COP.1/SHA-512$ and $FCS_COP.1/RIPEMD-160$ solely written in the JavaTM Programming Language.

6.1.4 TSF.Random (SOF-high)

The **TOE** offers deterministic random number generators (DRNG). The application has to provide a random seed, offering suitable entropy.

FCS_RND.1/HashRandom (SOF-high):

An implementation of a class K3 *secure random number generator* as defined in AIS20 solely written in the JavaTM Programming Language. **The implementation is according to** *example E.5 of AIS20.* Possible hash functions for generating random numbers are: SHA-1 [FIPS PUB 180-1], RIPEMD-160 [ISO/IEC 10118-3], SHA-256 [FIPS PUB 180-2], SHA-384 [FIPS PUB 180-2] und SHA-512 [FIPS PUB 180-2].

FCS RND.1/FipsRandom (SOF-high):

An implementation of a class K4 *secure random number generator* as defined in AIS20 solely written in the JavaTM Programming Language. **The implementation is according to** *FIPS PUB 186-2*. Possible hash functions for generating random numbers are: SHA-1 [FIPS PUB 180-1], RIPEMD-160 [ISO/IEC 10118-3], SHA-256 [FIPS PUB 180-2], SHA-384 [FIPS PUB 180-2] und SHA-512 [FIPS PUB 180-2].

6.1.5 TSF.MAC (SOF-high)

Message Authentication Codes (MACs) are used to guarantee the integrity and authenticity of a message. The **TOE** uses a HMAC, which is based on a shared secret and a secure hash function, in compliance with the following standard:

FCS_COP.1/HMAC (SOF-high):

An implementation of *HMAC generation and verification* using *SHA-1*, *SHA-256*, *SHA-384*, *SHA-512*, *RipeMD-160* as hash functions with key sizes of (128+k*8)bit <= block size of the used hash function [<math>k=0,1,2,...] according to *RFC 2104* solely written in the JavaTM Programming Language.

6.2 Assurance Measures

	Assurance	Measures
requirements		212340 412 00
Configuration management	ACM_CAP.3	IAIK maintains a central CM server located in a locked server room. The CM tool in use is <i>Microsoft Visual SourceSafe</i> . Each version of each configuration item is archived and maintained in the central <i>Visual SourceSafe</i> database. Each item may be uniquely identified by its name (full path name) within the corresponding project folder and each version of that <i>item</i> by its <i>version number</i> , its creation or modification <i>date</i> and <i>time</i> , and, if available, its <i>label</i> (a <i>label</i> is not required on each version, however, the evaluated version of the IAIK-JCE CC Core is tagged with a unique identifier (as all other release versions, too)). <i>Version number</i> , <i>date</i> and <i>time</i> are assigned automatically; A <i>label</i> is set by the user. Authorisation controls to both, the central server and the user workstations, are managed by the security functions of the particular operating system. Access to the <i>SourceSafe</i> database is password protected to authorized developers
	ACM_SCP.1	only. The CM system tracks the TOE implementation representation as well as documentation and test material. The implementation is archived as encapsulated release versions containing the entire Java TM source code.
Delivery and operation	ADO_DEL.1	IAIK delivers the library and all documentation in terms of a single ZIP archive on a CD. Furthermore the CD contains a SHA-1 fingerprint of this ZIP file and of all relevant parts contained within the ZIP. Thereby it is possible to check the integrity of some unzipped parts after the installation. A tool to validate these hash values will be included as well. All these hash values will be published on IAIKs web server (https access) and additionally will be sent to the

		customers with a signed e-mail, with fax or handed over personally.
	ADO_IGS.1	The TOE itself, which is a jar archive containing the compiled Java TM code, is signed, as required by the JCE specification [JCE1.4-REF] and [JCE5-REF].
		There is no installation of the TOE in the conventional meaning. The administrator simply has to unzip the TOE and put it on the "right place". The "right place" depends on the application using the TOE .
	ADV_FSP.1	IAIK provides a functional specification of the TOE . The usage of the security functions of the TOE is primarily prescribed by the JCA/JCE architecture, which defines most of the interfaces. There exist different versions of this architecture. The various original specifications of this architecture are added to the delivered documents. All other interfaces that are not compliant to the JCA/JCE architecture are described additionally.
Development	ADV_HLD.2	The HLD (High Level Design) description introduces the subsystems of the TOE . According to the JCA/JCE provider definition each subsystem is defined as a collection of Java [™] packages. There are only two subsystems, IAIK and UTILITIES. Subsystem IAIK implements all TOE security functions (see chapter 6.1 of this document). Subsystem UTILITIES provides a set of utilities that are used by subsystem IAIK. The HLD also presents all external (to the environment) and internal interfaces (among the subsystems) of the two subsystems. The presentation is based on the Javadoc output of the corresponding classes. With respect to the functional specification, the HLD introduces the JCA/JCE SPI as main interface between final application (end user, API) and TOE subsystems, and discusses where the TOE extends the/differs from the JCA/JCE reference API/SPI.
	ADV_RCR.1	The RCR (Representation Correspondence) shows the correspondence between the TSS security functions (as presented in chapter 6.1 of this document), the FSP (functional specification) and HLD (high level design). It stepwise refines the design by leading from the JCA/JCE API (FSP) to the JCE/JCA SPI (HLD) to TOE subsystems (HLD).
Guidance documents	AGD_ADM.1	There is no separate administrator manual. All required information on how to install the TOE are within the user manual.
Guid	AGD_USR.1	IAIK provides a user manual, which contains all necessary information about the TOE installation and usage (required by the application programmers).

Life cycle support	ALC_DVS.1	The protection of the development environment is guaranteed by physical, procedural and personal measures.
	ATE_COV.2	The tests are explained in a test specification document. It describes the source of test data and how the tests are organized.
	ATE_DPT.1	Moreover, there is a test suite for the complete TOE which include tests of all interfaces.
Tests	ATE_FUN.1	This test suite runs automatically and applies test vectors for each TSF. The test vectors consist of input data and expected output data. Standard vectors were taken where available. The tests have been monitored with a tool that measures the code coverage of the test suite.
	ATE_IND.2	The evaluators will have access to the test suite to verify it.
Vulnerability assessment	AVA_MSU.1	The AVA (Vulnerability Assessment) analyses the TOE for vulnerabilities. It starts with an investigation of the guidance documentation to ensure if it is complete and
	AVA_SOF.1	consistent. Then, there follows a consideration of the strength of the used security functions. The document closes with an analysis of the TOE for vulnerabilities.
Vı	AVA_VLA.1	

7 PP Claims

This chapter is not applicable to this ST (see chapter 1.3).

8 Rationale

8.1 Security Objectives Rationale

This chapter shall demonstrate that the stated security objectives are traceable to all of the aspects identified in the **TOE** security environment and are suitable to cover them.

Policy /Threat/ Assumption:	Objectives:	Comment:
	Security Objectives for the TOE	
T.DeduceData	OT.CipherSecure	This objective ensures that data cannot be deduced from O. CipherText. By the use of appropriate cipher algorithms, which are generally known as secure, it is not possible to deduce data from the cipher text.
T.DeduceKey	OT.CipherSecure	This objective ensures that keys cannot be deduced from O. CipherText. By the use of appropriate cipher algorithms, which are generally known as secure, it is not possible to deduce the key from the cipher text.
T.DeduceRandomSeed	OT.RandomSecure	This objective ensures that the random seed cannot be deduced. By the use of an appropriate random number generation algorithm, which is generally known as secure, it is not possible to deduce the random seed.

T.PredictRandomNumber	OT.RandomSecure	This objective ensures	
1.FredictRandonnvumber	O1.Randomsecure	that the next generated	
		random number cannot	
		be predicted.	
		By the use of an	
		appropriate random	
		number generation	
		algorithm, which is	
		generally known as	
		secure, it is not possible	
		to predict the random	
	0.000	number.	
T.HashForgery	OT.HashSecure	This objective ensures	
		that the S.Attacker	
		cannot find collisions.	
		By the use of an	
		appropriate hash	
		algorithm, which is	
		generally known as	
		secure, it is not possible	
		to find collisions.	
T.MACForgery	OT.MACSecure	This objective ensures	
		that the S.Attacker	
		cannot forge O.MAC or	
		recover O.SecretKey	
		from O.MAC.	
		By the use of an	
		appropriate mac	
		algorithm, which is	
		generally known as	
		secure, it is not possible	
		to forge the mac or to	
		recover the key.	
T.SignatureForgery	OT.SignatureSecure	This objective ensures	
		that O.Signature cannot	
		be forged and	
		O.PrivateKey cannot be	
		recovered from	
		O.Signature.	
		By the use of an	
		appropriate signature	
		algorithm, which is	
		generally known as	
		secure, it is not possible	
		to forge the signature or	
		to recover the key.	
Security Objectives for the Environment			
A.Protection	OE.EnvironmentIntegrity,	These objectives ensure	
	OE.EnvironmentProtection	that S.Attacker cannot	
		read or modify any data.	

A.Java_Spec	OE.ExecutionEnvironment	This objective ensures
71.3ava_Spec	OL.LACCUTOILE VITOIMICIT	that the Java TM version
		in use meets the
		required specification.
A.JCE_Spec	OE.ExecutionEnvironment	This objective ensures
		that the JCE version in
		use meets the required
		specification.
A.KeyManagement	OE.KeyProtection,	These objectives ensure
	OE.CorrectKeys	an appropriate key
		management.
A.Manual	OE.ExecutionEnvironment,	These objectives ensure
	OE.TOE_Usage	that the TOE is used
		and behaves according
		to the manual.
A.Train	OE.TOEIntegrity	This objective ensures
		that the integrity of the
		TOE can be verified at
		any time.
		This can be attained by
		a suitably qualified
		S.Admin.
A.SeedManagement	OE.SuitableSeed,	These objectives ensure
	OE.SeedProtection	an appropriate seed
		management.
T.DeduceData	OE.EnvironmentProtection	This objective ensures
		that data cannot be read
		or modified by
		S.Attacker before the
		TOE receives the data.
T.DeduceKey	OE.EnvironmentProtection	This objective ensures
		that the environment
		protects the key.
T.DeduceRandomSeed	OE.EnvironmentProtection	This objective ensures
		that the environment
		protects the seed.
T.MACForgery	OE.EnvironmentProtection	This objective ensures
		that the data cannot be
		read or modified by
		S.Attacker before the
m.a. –		TOE receives the data.
T.SignatureForgery	OE.EnvironmentProtection	This objective ensures
		that the data cannot be
		read or modified by
		S.Attacker before the
		TOE receives the data.

Table 8 Mapping the TOE Security Environment to Security Objectives

Objective:	Policies/ Threats/	Comment:
	Assumptions:	
S	ecurity Objectives for the T	OE
OT.CipherSecure	T.DeduceData,	These threats are countered
	T.DeduceKey	by the use of ciphers which
		are known as secure.
OT.HashSecure	T.HashForgery	This threat is countered by
		the use of hash algorithms
		which are known as secure.
OT.MACSecure	T.MACForgery	This threat is countered by
		the use of secure mac
		algorithms.
OT.SignatureSecure	T.SignatureForgery	This threat is countered by
		the use of secure signature
		algorithms.
OT.RandomSecure	T.PredictRandomNumber,	This threat is countered by
	T.DeduceRandomSeed	the use of secure random
		number generation
		algorithms.
	rity Objectives for the Envir	
OE.TOEIntegrity	A.Train	This assumption assures the
		integrity of the TOE .
OE.EnvironmentIntegrity	A.Protection	This assumption assures the
		integrity of the environment.
OE.CorrectKeys	A.KeyManagement	This assumption assures that
		the environment provides
		correct keys to the TOE .
OE.SuiteableSeed	A.SeedManagement	This assumption assures that
		the environment provides
		suitable seeds to the TOE .
OE.ExecutionEnvironment		These assumptions assure
	A.JCE_Spec, A.Manual	that the provided execution
		environment meets the
		required specification.
OE.KeyProtection	A.KeyManagement	This assumption assures the
		protection of the key
		material.
OE.SeedProtection	A.SeedManagement	This assumption assures the
OF F	A.D	protection of the seed.
OE.EnvironmentProtection	A.Protection,	This assumption assures that
	T.DeduceData,	the environment is protected
	T.DeduceKey,	and helps to avert these
	T.DeduceRandomSeed,	threats.
	T.SignatureForgery,	
OF TOP 11	T.MACForgery	TOTAL CONTRACTOR OF THE PARTY O
OE.TOE_Usage	A.Manual	This assumption assures that
		the TOE is used in an
		appropriate way.

Table 9 Tracing of Security Objectives to the TOE Security Environment

8.2 Security Requirements Rationale

The **TOE** security objectives concern the provision of "secure" cryptographic functionality as further specified in the security functional requirements. They contain no specific strength-related properties. Therefore, strength of function claim SOF-high is consistent with the security objectives for the **TOE**.

8.2.1 Functional Security Requirements Rationale

8.2.1.1 Functional Security Requirements Rationale for the TOE

Objectives:	Requirements:	Comments:
OT.CipherSecure	FCS_COP.1/AES,	The use of the left-mentioned
_	FCS_COP.1/TripleDES,	cryptographic operations
	FCS_COP.1/RSACipher,	ensures that the generated
	FCS_COP.1/RSACipherOAEP	O.CipherText is secure.
	FCS_COP.1/RC2,	FDP_ITC.1 is needed to
	FCS_COP.1/ARCFOUR,	import cryptographic keys for
	FDP_ITC.1	the operation.
OT.HashSecure	FCS_COP.1/SHA-1,	The use of the left-mentioned
	FCS_COP.1/SHA-256,	hash functions ensures that
	FCS_COP.1/SHA-384,	the generated O.Hash is
	FCS_COP.1/SHA-512,	secure.
	FCS_COP.1/RIPEMD-160	
OT.MACSecure	FCS_COP.1/HMAC,	The use of this cryptographic
	FDP_ITC.1	function ensures that the
		generated O.MAC is secure.
		FDP_ITC.1 is needed to
		import cryptographic keys for
		the operation.
OT.SignatureSecure	FCS_COP.1/RSASignature,	The use of the left-mentioned
	FCS_COP.1/RSASignaturePSS,	cryptographic operations
	FDP_ITC.1	ensures that the generated
		O.Signature is secure.
		FDP_ITC.1 is needed to
		import cryptographic keys for
		the operation.
OT.RandomSecure	FCS_RND.1/HashRandom	The use of the left-mentioned
	FCS_RND.1/FipsRandom	functions ensures that the
		generated O.RandomNumber
		is secure.

Table 10 Functional Security Requirements Rationale for the TOE

8.2.1.2 Functional Security Requirements Rationale for the environment

Objectives:	Requirements:	Comments:
OE.TOEIntegrity	R.TOEIntegrity	If the left-mentioned
		requirement is met, the
		TOE integrity is ensured.
OE.ExecutionEnvironment	R.ExecutionEnvironment	If the left-mentioned
		requirement is met, the
		execution environment
		fulfils the required
		conditions as described in
		chapter 2.3.7.
OE.CorrectKeys	R.CorrectKeys,	If the left-mentioned
	FCS_CKM.1	requirements are met, the
		use of correct keys is
		ensured.
OE.SuitableSeed	R.SuitableSeed	If the left-mentioned
		requirement is met, the use
		of applicable seeds is
OF G ID	D.G. 1D	ensured.
OE.SeedProtection	R.SeedProtection,	If the left-mentioned
	FDP_RIP.1	requirement is met, the
OF F	D.E. i. d. i.	seed protection is ensured.
OE.EnvironmentIntegrity	R.EnvironmentIntegrity	If the left-mentioned
		requirement is met, the
		environment integrity is
OF TOP Have	D TOE Have	ensured.
OE.TOE_Usage	R.TOE_Usage	If the left-mentioned
		requirement is met, the
		right TOE usage is ensured.
OE.KeyProtection	R.KeyProtection,	If the left-mentioned
OE.Keyl lotection	FCS CKM.4.1	requirements are met, the
	I CS_CIXIVI.4.1	protection of the key is
		ensured.
OE.EnvironmentProtection	R.EnvironmentProtection	If the left-mentioned
		requirement is met, the
		environment protection is
		ensured.
	L	

Table 11 Functional Security Requirements Rationale for the environment

8.2.2 Security Assurance Requirements Rationale

To meet the requirements of an application for the generation and the verification of electronic signatures, the selected evaluation level is EAL3, and the selected strength of functions is high (SOF-high).

8.3 TOE Summary Specification Rationale

8.3.1 TOE Security Functions Rationale

The **TOE** security functions TSF.Hash, TSF.Random and TSF.MAC reach SOF-high. For these security functions, the **TOE** should be able to resist attacks from attackers with sophisticated knowledge.

Given that the **TOE** is generally available, the attacker is assumed to have unlimited time to set up attacks. The attacker is assumed to use equipment that is state of the art. The data which is processed by the **TOE** is assumed to be of high importance. To counter these threats, the **TOE** uses cryptographic functions.

Security	Mechanism:	Min	Security Functional	SOF:
Functions:		Key-	Requirements:	
		Size:		
TSF.Hash	SHA-1		FCS_COP.1/SHA-1	high
	SHA-256		FCS_COP.1/SHA-256	high
	SHA-384		FCS_COP.1/SHA-384	high
	SHA-512		FCS_COP.1/SHA-512	high
	RIPEMD-160		FCS_COP.1/RIPEMD-160	high
TSF.Cipher	AES	128 bit	FCS_COP.1/AES	
	TripleDES	112 bit	FCS_COP.1/TripleDES	
	RC2	128 bit	FCS_COP.1/RC2	
	ARCFOUR	128 bit	FCS_COP.1/ARCFOUR	
	RSA PKCS#1 v1.5	1024 bit	FCS_COP.1/RSACipher	
	RSA PKCS#1 v2.1	1024 bit	FCS_COP.1/RSACipherOAEP	
	OAEP			
			FDP_ITC.1	
TSF.Signature	RSA PKCS#1 v1.5 with SHA-1, SHA- 256, SHA-384, SHA-512, RIPEMD-160	1024 bit	FCS_COP.1/RSASignature	
	RSA PKCS#1 v2.1 PSS with SHA-1, SHA-256, SHA- 384, SHA-512, RIPEMD-160	1024 bit	FCS_COP.1/RSASignaturePSS	
			FDP_ITC.1	
TSF.Random			FCS_RND.1/HashRandom	high
TOTAL C	TD 64 G 14 G 22 4	12011	FCS_RND.1/FipsRandom	high
TSF.MAC	HMAC with SHA-	128 bit	FCS_COP.1/HMAC	high
	1, SHA-256, SHA 384, SHA 512, RipeMD-160		FDP_ITC.1	

Table 12 Assurance Security Requirements Rationale

There is a one to one correspondence between the TSF and the SFR with the exception of FDP_ITC.1. This requirement is needed to import keys for cryptographic

operations and is implicitly fulfilled by the corresponding TSF (Cipher, Signature, MAC). That means that the TSF are suitable to meet the security functional requirements and work together without any conflict.

8.4 Dependency Rationale

Requirement:	Dependencies:
	al Requirements
FCS_COP.1/SHA-1	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/SHA-256	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/SHA-384	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/SHA-512	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RIPEMD-160	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/AES	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/TripleDES	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RC2	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/ARCFOUR	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RSACipher	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RSACipherOAEP	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RSASignature	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_COP.1/RSASignaturePSS	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2, FCS_CKM.4
FCS_CKM.4/AES	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/TripleDES	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/RC2	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/ARCFOUR	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/RSACipher	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/RSACipherOAEP	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2
FCS_CKM.4/RSASignature	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1],
	FMT_MSA.2

ECC CVM 4/DCAC; an atoma DCC	
FCS_CKM.4/RSASignaturePSS	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1], FMT MSA.2
FCS_CKM.4/HMAC	[FDP_ITC.1 or FDP_ITC.2 or FCS.CKM.1], FMT_MSA.2
FCS_CKM.1/AES	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
	FMT_MSA.2
FCS_CKM.1/TripleDES	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
	FMT_MSA.2
FCS_CKM.1/RC2	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4, FMT_MSA.2
FCS_CKM.1/ARCFOUR	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
T CS_CRIVI.1/TIRCI OUR	FMT_MSA.2
FCS_CKM.1/RSACipher	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
EGG GWALLEDGA G' 1 OA ED	FMT_MSA.2
FCS_CKM.1/RSACipherOAEP	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4, FMT_MSA.2
FCS_CKM.1/RSASignature	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
	FMT_MSA.2
FCS_CKM.1/RSASignaturePSS	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
	FMT_MSA.2
FCS_CKM.1/HMAC	[FCS_CKM.2 or FCS_COP.1], FCS_CKM.4,
	FMT MSA.2
FDP ITC.1	[FDP_ACC.1 or FDP_IFC.1], FMT_MSA.3
FCS_RND.1/HashRandom	FPT_TST.1
FCS_RND.1/FipsRandom	FPT TST.1
	_
FCS_COP.1/HMAC	[FDP_ITC.1 or FCS.CKM.1], FMT_MSA.2, FCS_CKM.4
FDP RIP.1	-
FDP_RIP.1	- ce Requirements
Assurance	ce Requirements ACM SCP.1, ALC DVS.1
Acm_cap.3	ACM_SCP.1, ALC_DVS.1
ACM_CAP.3 ACM_SCP.1	· •
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 -
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 -
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 ADV_FSP.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 ADV_FSP.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_FUN.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1,
ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_IND.2	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1, ATE_FUN.1
ASSURANCE ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_FUN.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1, ATE_FUN.1 ADO_IGS.1, ADV_FSP.1, AGD_ADM.1,
ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_FUN.1 ATE_IND.2	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1, ATE_FUN.1 ADO_IGS.1, ADV_FSP.1, AGD_ADM.1, AGD_USR.1
ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_IND.2 AVA_MSU.1 AVA_SOF.1	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1, ATE_FUN.1 ADO_IGS.1, ADV_FSP.1, AGD_ADM.1, AGD_USR.1 ADV_FSP.1, ADV_HLD.1
ACM_CAP.3 ACM_SCP.1 ADO_DEL.1 ADO_IGS.1 ADV_FSP.1 ADV_HLD.2 ADV_RCR.1 AGD_ADM.1 AGD_USR.1 ALC_DVS.1 ATE_COV.2 ATE_DPT.1 ATE_FUN.1 ATE_IND.2	ACM_SCP.1, ALC_DVS.1 ACM_CAP.3 - AGD_ADM.1 ADV_RCR.1 ADV_FSP.1, ADV_RCR.1 - ADV_FSP.1 - ADV_FSP.1 - ADV_FSP.1, ATE_FUN.1 - ADV_HLD.1, ATE_FUN.1 - ADV_FSP.1, AGD_ADM.1, AGD_USR.1, ATE_FUN.1 ADO_IGS.1, ADV_FSP.1, AGD_ADM.1, AGD_USR.1

Table 13 Functional and Assurance Requirements Dependencies

TSF.Hash

FCS COP.1/SHA-1:

• FDP_ITC.1/SHA-1 Import of user data without security attributes:

The computation of SHA-1 does not require the import of user data in terms of cryptographic keys.

• FDP_ITC.2/SHA-1 Import of user data with security attributes:

The computation of SHA-1 does not require the import of user data in terms of cryptographic keys. This functional component is not needed.

• FCS_CKM.1/SHA-1 Cryptographic key generation:

There are no cryptographic keys required and thus there is no requirement for this security functional component.

• FCS_CKM.4/SHA-1 Cryptographic key destruction:

Since the computation of SHA-1 does not require any cryptographic keys this component can be omitted.

• FMT_MSA.2/SHA-1 Secure security attributes:

The hash computation does not require cryptographic keys and therefore no management of the security attributes. This security functional component is not needed.

FCS COP.1/SHA-256:

Analogous to the points as described in FCS_COP.1/SHA-1.

FCS COP.1/SHA-384:

Analogous to the points as described in FCS_COP.1/SHA-1.

FCS_COP.1/SHA-512:

Analogous to the points as described in FCS_COP.1/SHA-1.

FCS_COP.1/RIPEMD-160:

Analogous to the points as described in FCS_COP.1/SHA-1.

TSF.Cipher

FCS_COP.1/AES:

• FDP_ITC.1/AES Import of user data without security attributes:

See chapter 5.1.2 FDP_ITC.1.

• FDP_ITC.2/AES Import of user data with security attributes:

The **TOE** does not use import of user data with security attributes. This functional component is not needed.

• FCS_CKM.1/AES Cryptographic key generation:

The **TOE** does not generate keys itself. The environment is responsible for the key generation, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FCS_CKM.4/AES Cryptographic key destruction:

The **TOE** does not destroy keys itself. The environment is responsible for the key destruction, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FMT_MSA.2/AES Secure security attributes:

There are no security attributes related with the cryptographic keys (see FDP_ITC.1/AES).

FCS_COP.1/TripleDES:

Analogous to the points as described in FCS_COP.1/AES.

FCS COP.1/RC2:

Analogous to the points as described in FCS_COP.1/AES.

FCS COP.1/ARCFOUR:

Analogous to the points as described in FCS_COP.1/AES.

FCS_COP.1/RSACipher:

Analogous to the points as described in FCS_COP.1/AES.

FCS_COP.1/RSACipherOAEP:

Analogous to the points as described in FCS_COP.1/AES.

TSF.Signature

FCS_COP.1/RSASignature:

• FDP_ITC.1/RSASignature Import of user data without security attributes:

See chapter 5.1.2 FDP_ITC.1.

• FDP_ITC.2/ RSASignature Import of user data with security attributes:

The **TOE** does not use import of user data with security attributes. This functional component is not needed.

• FCS_CKM.1/RSASignature Cryptographic key generation:

The **TOE** does not generate keys itself. The environment is responsible for the key generation, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FCS CKM.4/RSASignature Cryptographic key destruction:

The **TOE** does not destroy keys itself. The environment is responsible for the key destruction, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FMT_MSA.2/RSASignature Secure security attributes:

There are no security attributes related with the cryptographic keys (see FDP_ITC.1/RSASignature).

FCS_COP.1/RSASignaturePSS:

Analogous to the points as described in FCS COP.1/RSASignature.

TSF.Random

FCS RND.1/HashRandom:

• FPT_TST.1/HashRandom TSF testing

This dependency is intended for true random number generators (TRNG). Since the **TOE** implements a deterministic random number generator (DRNG) and the seed handling is done outside the **TOE** this functional requirement is not required.

FCS RND.1/FipsRandom:

• FPT_TST.1/FipsRandom TSF testing

This dependency is intended for true random number generators (TRNG). Since the **TOE** implements a deterministic random number generator (DRNG) and the seed handling is done outside the **TOE** this functional requirement is not required.

TSF.MAC

FCS_COP.1/HMAC:

• FDP_ITC.1/HMAC Import of user data without security attributes: See chapter 5.1.2 FDP_ITC.1.

• FDP_ITC.2/ HMAC Import of user data with security attributes:

The **TOE** does not use import of user data with security attributes. This functional component is not needed.

• FCS_CKM.1/HMAC Cryptographic key generation:

The **TOE** does not generate keys itself. The environment is responsible for the key generation, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FCS_CKM.4/HMAC Cryptographic key destruction:

The **TOE** does not destroy keys itself. The environment is responsible for the key destruction, so the requirement is included in chapter 5.3 "Security Requirements for the Environment".

• FMT_MSA.2/HMAC Secure security attributes:

There are no security attributes related with the cryptographic keys (see FDP_ITC.1/HMAC).

FCS_CKM.4 Cryptographic key destruction

FCS_CKM.4/AES:

• FDP ITC.1/AES

See chapter 5.1.2 FDP ITC.1.

• FDP ITC.2/AES

In this context, the user data are the keys, which do not have security attributes. This functional component is not needed.

• FCS_CKM.1/AES

Is included in chapter 5.3 "Security Requirements for the Environment".

• FMT_MSA.2/AES

There are no security attributes related with the cryptographic keys and therefore this functional component is not needed.

FCS_CKM.4/TripleDES:

Analogous to the points as described in FCS_CKM.4/AES.

FCS_CKM.4/RC2:

Analogous to the points as described in FCS_CKM.4/AES.

FCS CKM.4/ARCFOUR:

Analogous to the points as described in FCS_CKM.4/AES.

FCS_CKM.4/RSACipher:

Analogous to the points as described in FCS_CKM.4/AES.

FCS_CKM.4/RSACipherOAEP:

Analogous to the points as described in FCS_CKM.4/AES.

FCS_CKM.4/RSASignature:

Analogous to the points as described in FCS CKM.4/AES.

FCS_CKM.4/RSASignaturePSS:

Analogous to the points as described in FCS_CKM.4/AES.

FCS CKM.4/HMAC:

Analogous to the points as described in FCS_CKM.4/AES.

FCS_CKM.1 Cryptographic key generation

FCS_CKM.1/AES:

• FCS COP.1/AES

Is included in chapter 5.1.1 "Cryptographic support" as security requirement for the **TOE**.

• FCS_CKM.4/AES

Is included in chapter 5.3 "Security Requirements for the Environment".

FMT_MSA.2/AES

There are no security attributes related with the cryptographic keys and therefore this functional component is not needed.

FCS_CKM.1/TripleDES:

Analogous to the points as described in FCS_CKM.1/AES.

FCS_CKM.1/RC2:

Analogous to the points as described in FCS_CKM.1/AES.

FCS_CKM.1/ARCFOUR:

Analogous to the points as described in FCS_CKM.1/AES.

FCS_CKM.1/RSACipher:

Analogous to the points as described in FCS_CKM.1/AES.

FCS_CKM.1/RSACipherOAEP:

Analogous to the points as described in FCS_CKM.1/AES.

FCS CKM.1/RSASignature:

Analogous to the points as described in FCS_CKM.1/AES.

FCS_CKM.1/RSASignaturePSS:

Analogous to the points as described in FCS_CKM.1/AES.

FCS CKM.1/HMAC:

Analogous to the points as described in FCS_CKM.1/AES.

FDP_ITC.1 Import of user data without security attributes

• FDP ACC.1 Subset access control:

The **TOE** does not provide any access control itself. The access control is subject to the S.JavaVM. This functional component is therefore not required.

• FDP_IFC.1 Subset information flow control:

For our purposes, no information control is needed and therefore this functional component is not required.

• FMT MSA.3 Static attribute initialization:

For our purposes, no attributes are needed and therefore this functional component is not needed.

Security Assurance Requirements Dependencies Rationale

Within each EAL, all dependencies are met by definition of the EALs. Here, EAL3 was chosen.

8.5 Security Functional Requirements Grounding in Objectives

Requirements:	Objectives:
FCS_COP.1/SHA-1	OT.HashSecure
FCS_COP.1/SHA-256	OT.HashSecure
FCS_COP.1/SHA-384	OT.HashSecure
FCS_COP.1/SHA-512	OT.HashSecure
FCS_COP.1/RIPEMD-160	OT.HashSecure
FCS_COP.1/AES	OT.CipherSecure
FCS_COP.1/TripleDES	OT.CipherSecure
FCS_COP.1/RC2	OT.CipherSecure
FCS_COP.1/ARCFOUR	OT.CipherSecure
FCS_COP.1/RSACipher	OT.CipherSecure
FCS_COP.1/RSACipherOAEP	OT.CipherSecure
FCS_COP.1/RSASignature	OT.SignatureSecure
FCS_COP.1/RSASignaturePSS	OT.SignatureSecure
FCS_COP.1/HMAC	OT.MACSecure
FCS_RND.1/FipsRandom	OT.RandomSecure
FCS_RND.1/HashRandom	OT.RandomSecure
FDP_RIP.1.1	OE.KeyProtection
FCS_CKM.4.1	OE.KeyProtection

Table 14 Requirements to Objectives Mapping

9 Appendix A – References

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10 Appendix C – Acronyms

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A.XXX	Assumption
CC	Common Criteria for Information
	Technology Security Evaluation
	(referenced to as [CC])
CEM	Common Methodology for Information
	Technology Security Evaluation
CGA	Certificate Generation Application
CMS	Cryptographic Message Syntax
EAL	Evaluation Assurance Level
O.XXX	Objects (Assets)
OT.XXX	Security Objective for the TOE
OE.XXX	Security Objective for the Environment
PP	Protection Profile
SF	Security Function
SFR	Security Functional Requirement
SOF	Strength of Function
SSCD	Secure Signature Creation Device
ST	Security Target
T.XXX	Threat
TOE	Target of Evaluation
TSC	TSF Scope of Control
TSF	TOE Security Functions
TSP	TOE Security Policy
XML	Extensible Markup Language

11 Appendix E - Definition of the Family FCS_RND

Definition of a metric for Random Numbers is not provided in any of the classes of CC part 2. Therefore the component FCD_RND.1 of the German certification scheme document AIS 31 "A proposal for: Functionality classes and evaluation methodology for true (physical) random number generators" of BSI has been selected here.

11.1 FCS_RND generation of random numbers

Family behaviour

This family defines quality metrics for generating random numbers intended for cryptographic purposes.

Component levelling

FCS_RND.1 The generation of random numbers using TSFs requires the random numbers to meet the defined quality metrics.

Management: FCS_RND.1

No management functions are provided for.

Logging: FCS_RND.1

There are no events identified that should be auditable if FCS_RND generation of random numbers data generation is included in the PP/ST.

FCS_RND.1 Quality metrics for random numbers

Is hierarchical to: no other components.

FCS_RND.1.1 The TSFs shall provide a mechanism for generating random numbers that meet [assignment: a defined quality metric].

FCS_RND.1.2 The TSFs shall be able to enforce the use of TSF-generated random numbers for [assignment: *list of TSF functions*].

Dependencies: FPT_TST.1 TSF testing.