

# A Recent Topic of Cryptography: How to Prove the Security of Cryptography



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# Two Approaches of Proving Cryptographic Schemes and Protocols

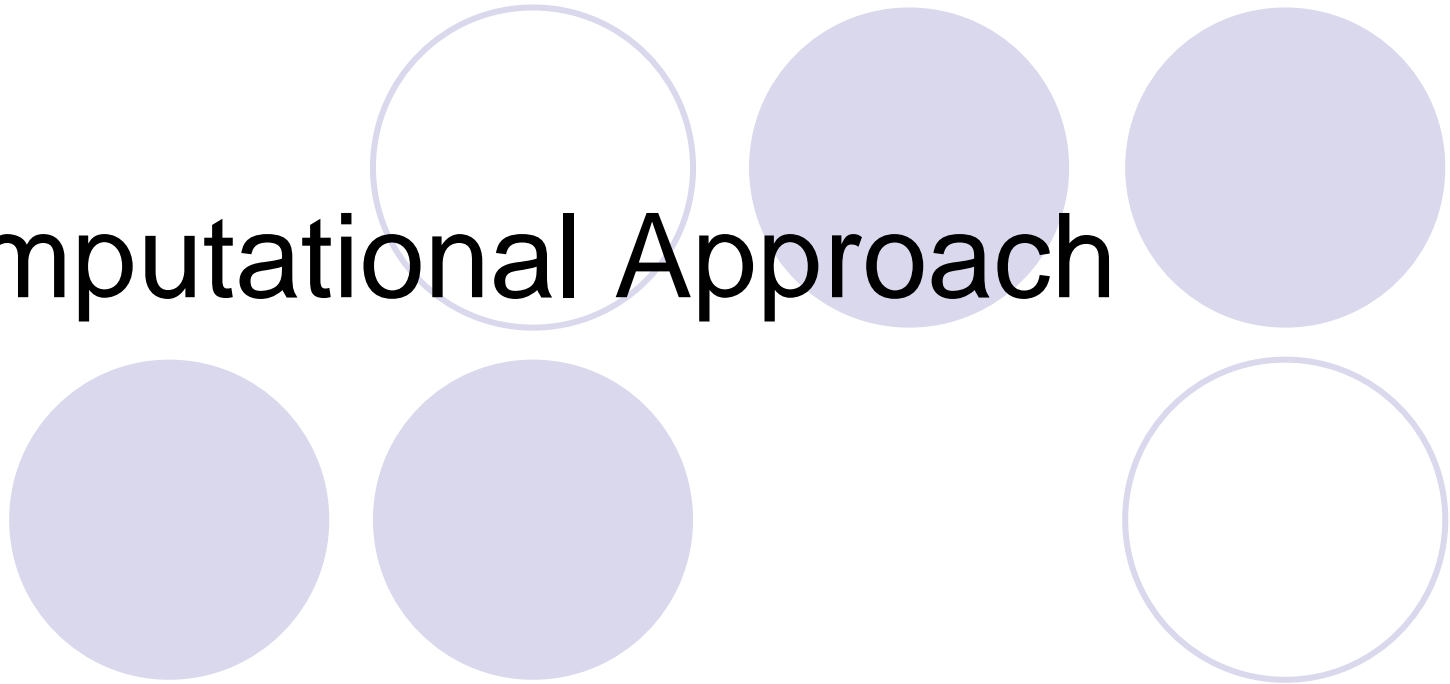
## 1. Computational Approach

- Models an adversary as a probabilistic polynomial-time Turing machine (PPTM), and proves that the security against any PPTM. (Probability and resource bounded TM are introduced.)  
Blum-Micali'82, Yao'82, Goldwasser-Micali'82, ...
- Widely accepted in the **cryptology community** as standard security definitions.
- The security proofs are **complicated** in general and flawed proofs are often presented (not so easy to check the correctness of a proof).

## 2. Formal Method Approach

- Expresses a cryptographic scheme or protocol by symbols, and prove the security by logical reasoning and rewriting rules.  
Dolev-Yao'82, BAN Logic, ...
- A lot of research has been made in the **formal method community**, but has not been well accepted by the cryptology community **due to the lack of the soundness to the computational approach security**.
- The security proofs are **clear and can be (partially) automated**.

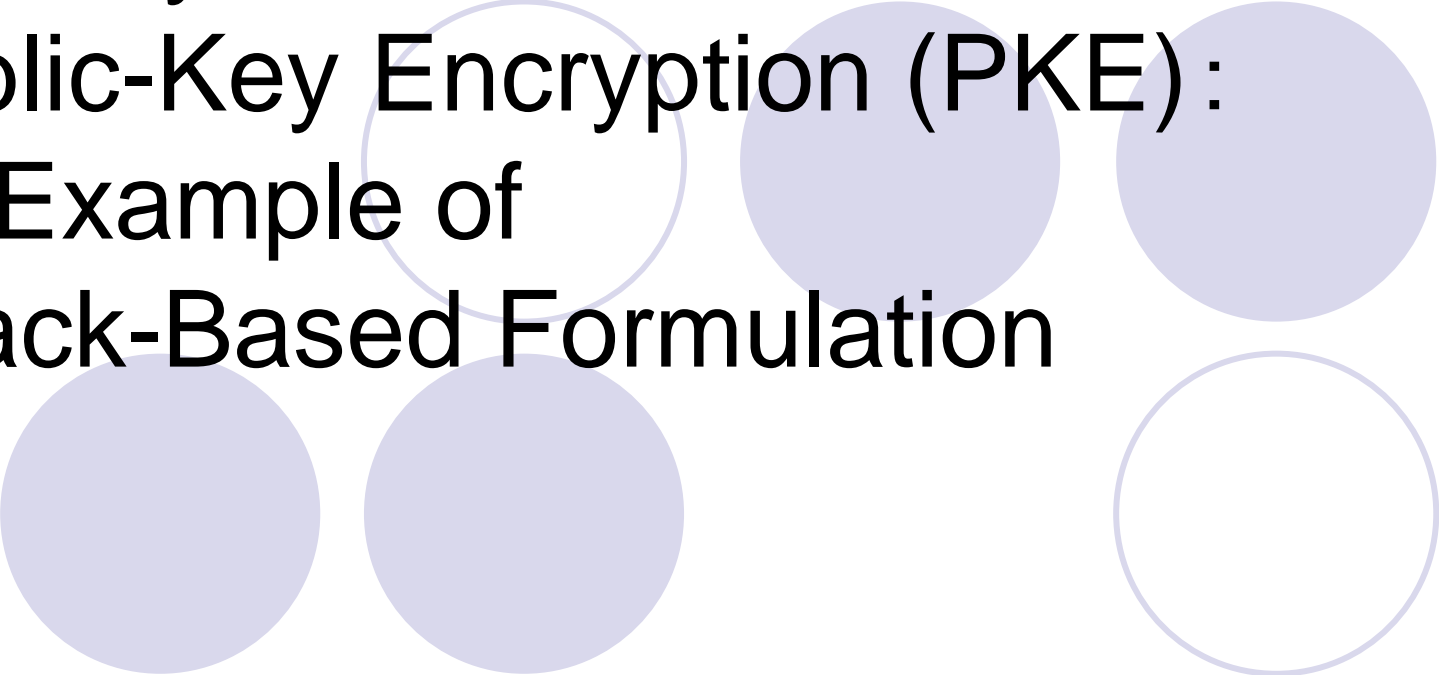
# Computational Approach



# How to Define the Security in the Computational Approach?

- **Attack-Based Formulation**: Formulates the security by a **game between an attacker and a challenger**. Recently a new methodology using a series of games, **the game hopping technique (sequence of games)**, is being advanced.
  - IND-CCA of public-key encryption, EUF-CMA of digital signatures, ...
- **Simulation-Based Formulation**: Formulates the security by **the gap between** an actual scheme/protocol (**real world**) and a simulated scheme/protocol using an ideal functionality (**ideal world**). Provides a **unified formulation** for all cryptographic schemes/protocols.
  - Universal composability (UC) framework by Canetti, ...

# Security Definition of Public-Key Encryption (PKE): An Example of Attack-Based Formulation

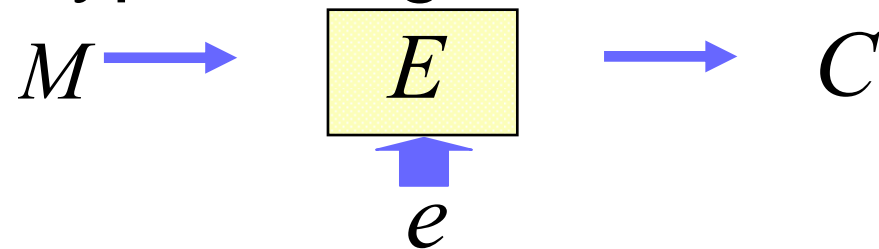


# Public-Key Encryption (PKE) ( $G, E, D$ )

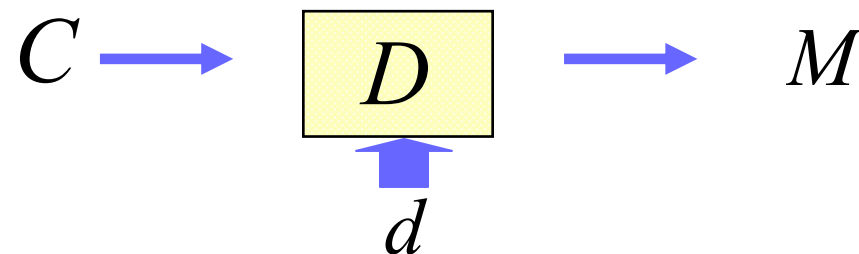
- $G$ : Key Generation Algorithm



- $E$ : Encryption Algorithm



- $D$ : Decryption Algorithm



# Security of PKE

- Goal

- One-Wayness (OW)  $c = E_{pk}(m) \xrightarrow{\text{hard}} m$

- Indistinguishability (IND)

no partial information of  $m$  is revealed from  $c = E_{pk}(m)$

- Non-malleability (NM)

$$c = E_{pk}(m) \xrightarrow{\text{hard}} c' = E_{pk}(m')$$

$R(m, m')$  holds for a non-trivial relation  $R$

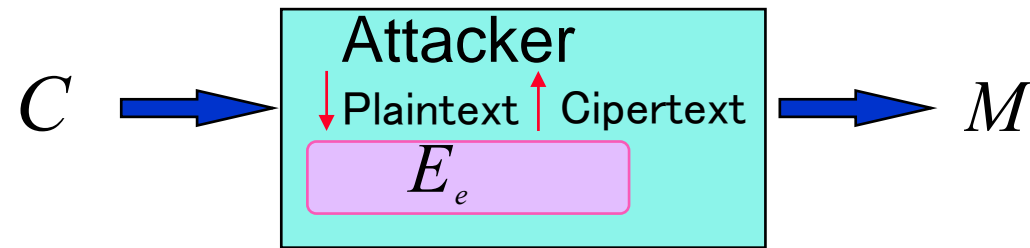
- Attack

- Passive attacks ··· Chosen-Plaintext Attacks (CPA)

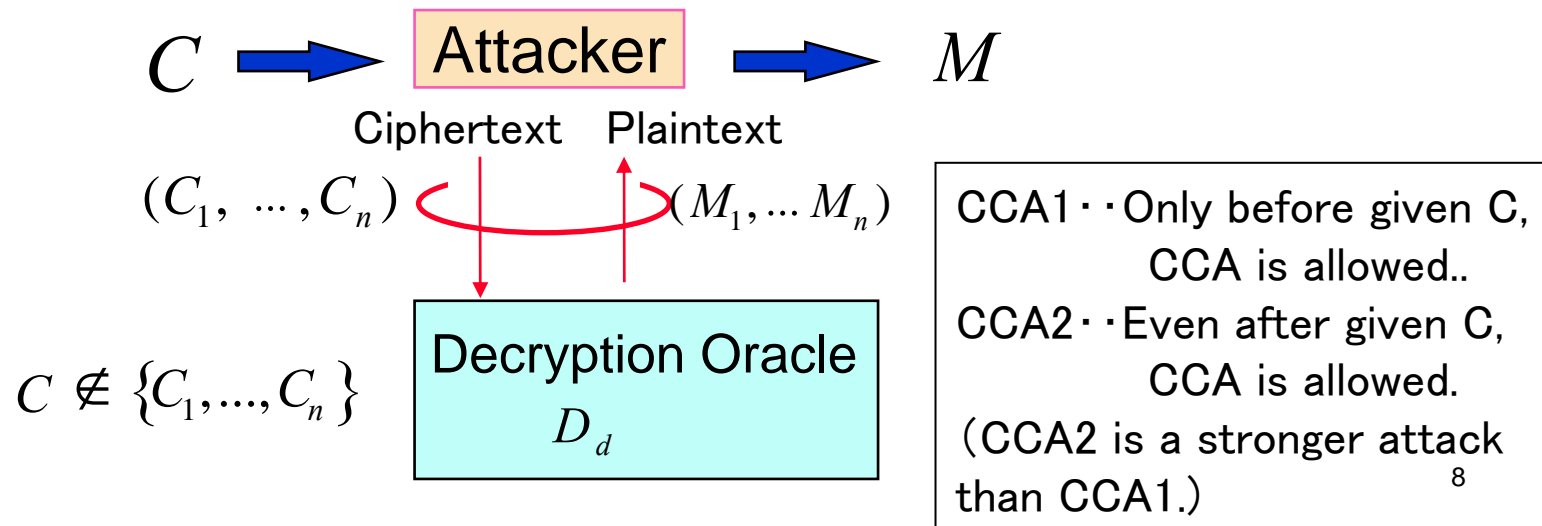
- Active attacks ··· Chosen-Ciphertext Attacks (CCA)<sup>7</sup>

# Attacks

- CPA: Chosen Plaintext Attack



- CCA: Chosen Ciphertext Attack





# Relationship among Security Definitions

|               |      |         |   |          |   |         |
|---------------|------|---------|---|----------|---|---------|
| Attack \ Goal |      | OW      | ← | IND      | ← | NM      |
|               |      | CPA     |   | OW-CPA   | ← | IND-CPA |
| CCA           | CCA1 | OW-CCA1 | ← | IND-CCA1 | ← | NM-CCA1 |
|               | CCA2 | OW-CCA2 | ← | IND-CCA2 | ← | NM-CCA2 |

The diagram illustrates the relationships between various security definitions. The table is structured as follows:

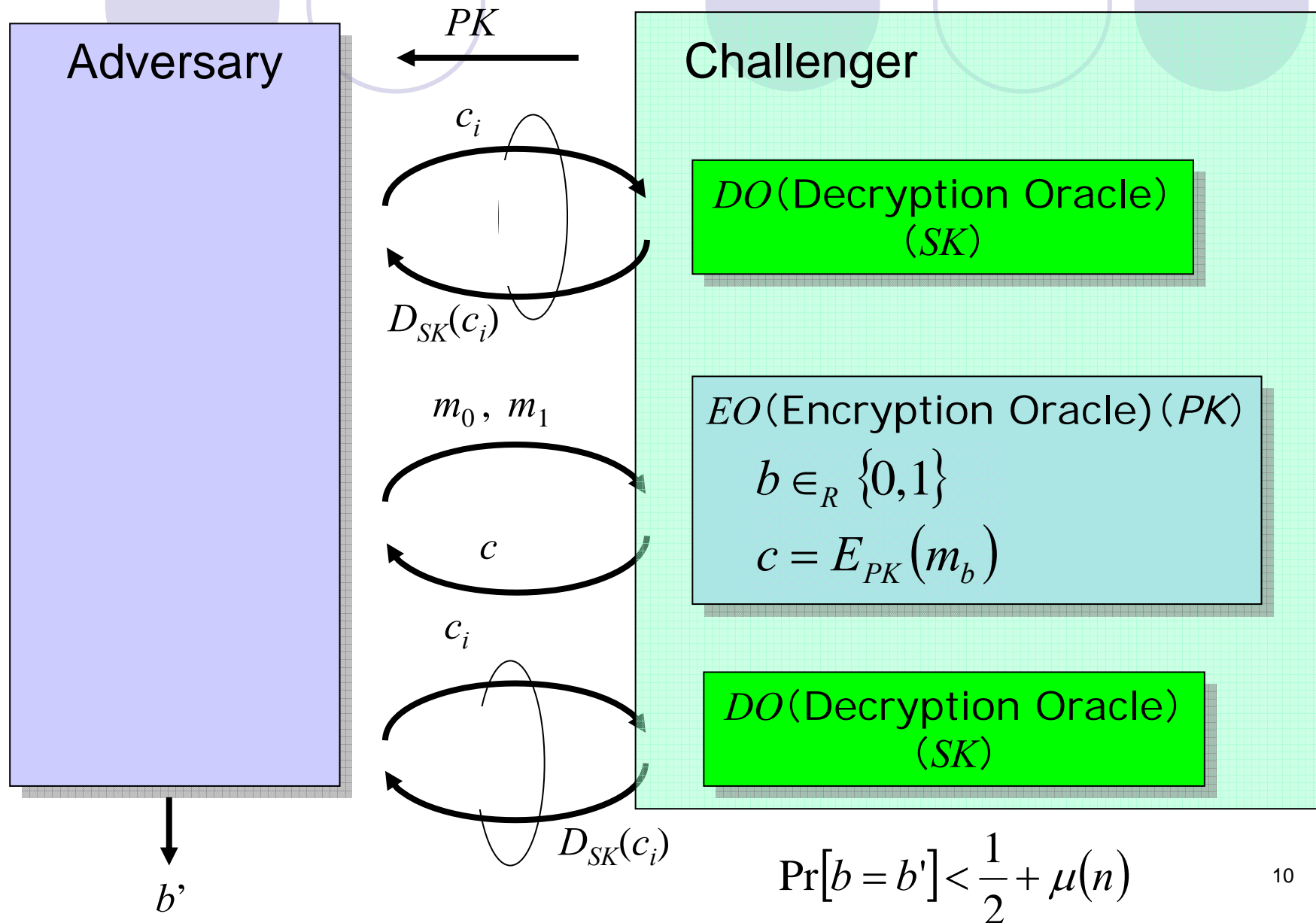
- Goals:** OW, IND, NM
- Attacks:** CPA, CCA1, CCA2
- Security Definitions:** OW-CPA, IND-CPA, NM-CPA, OW-CCA1, IND-CCA1, NM-CCA1, OW-CCA2, IND-CCA2, NM-CCA2

Relationships are indicated by arrows:

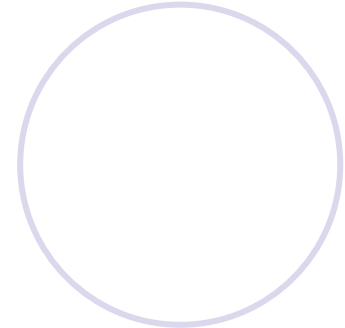
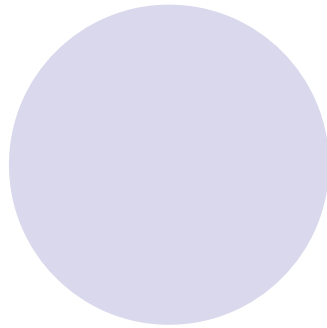
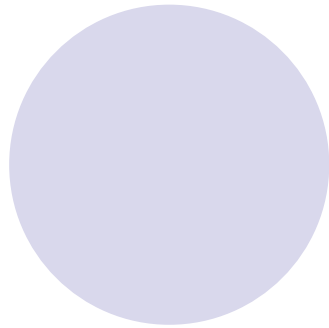
- Horizontal arrows (←) indicate that the definition on the left is stronger than the one on the right (e.g., OW-CPA is stronger than IND-CPA).
- Vertical arrows (↑) indicate that the definition below is stronger than the one above (e.g., OW-CCA1 is stronger than OW-CPA).
- Diagonal arrows (↘) indicate that the definition below is stronger than the one to the left (e.g., IND-CCA1 is stronger than IND-CPA).
- Diagonal arrows (↙) indicate that the definition above is stronger than the one to the right (e.g., IND-CPA is stronger than NM-CPA).
- Horizontal arrows (→) indicate that the definition on the right is stronger than the one on the left (e.g., NM-CCA2 is stronger than IND-CCA2).

The IND-CCA2 definition is highlighted with a pink oval.

# IND-CCA2 Definition



# Security Proof of PKE: An Example of the Game Transformation Technique

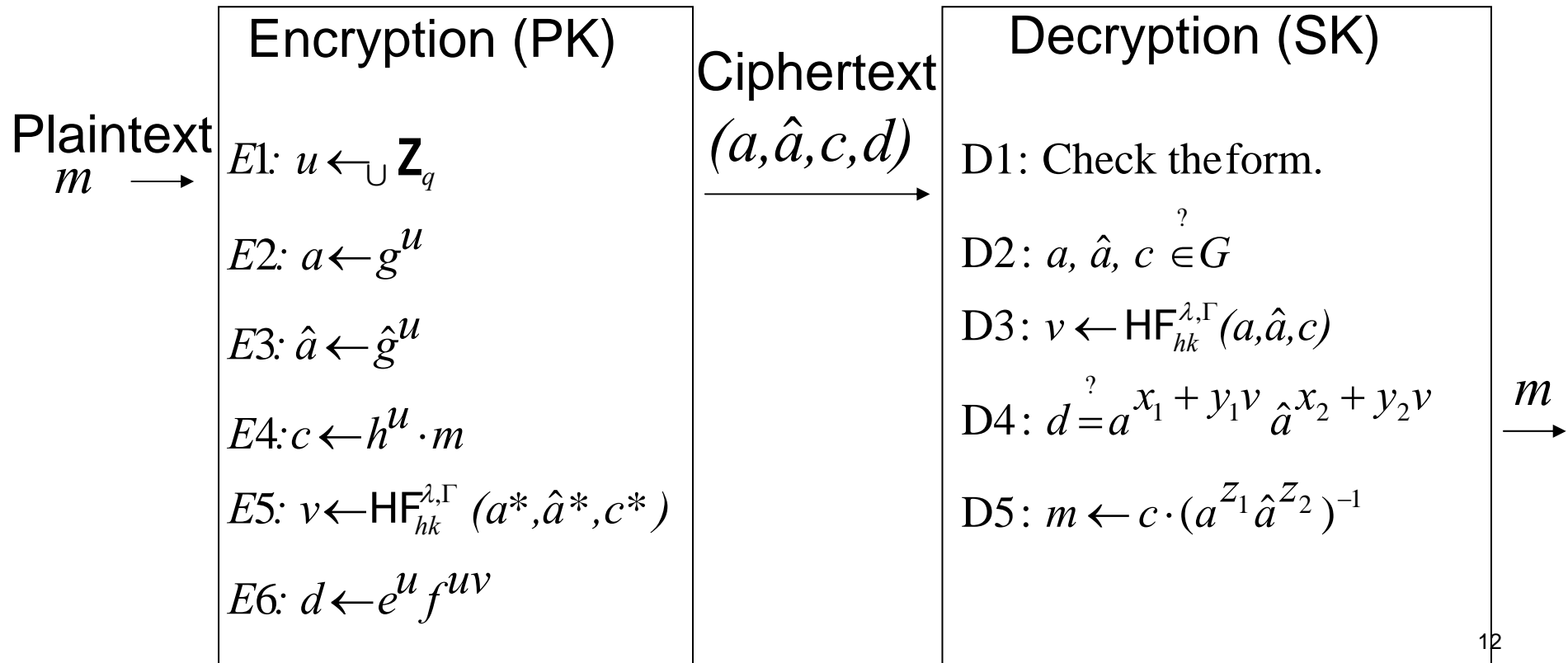


# Cramer-Shoup PKE Scheme

$$\text{SK} \leftarrow (x_1, x_2, y_1, y_2, z_1, z_2) \in \mathbf{Z}_q^6$$

$$e \leftarrow g^{x_1} \hat{g}^{x_2}, f \leftarrow g^{y_1} \hat{g}^{y_2}, h \leftarrow g^{z_1} \hat{g}^{z_2}$$

$$\text{PK} \leftarrow (\Gamma[G, \hat{G}, g, q], hk, \hat{g}, e, f, h) \quad (g \in G, \hat{g} \in \hat{G})$$





# The Security of Cramer-Shoup

The Cramer-Shoup PKE scheme is **IND-CCA2** secure, assuming that the **decisional Diffie-Hellman (DDH)** problem is hard and that HF is a **target collision resistant (TCR)** function family.

# Game0: IND-CCA2 Game

$(\Gamma [G, \hat{G}, g, q], hk, \hat{g}, e, f, h)$

Decryption Oracle

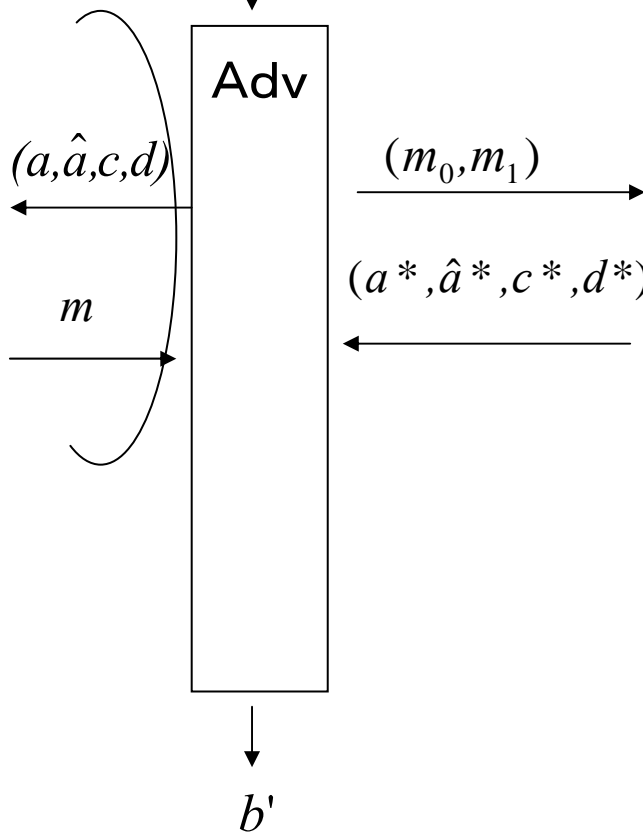
Encryption Oracle

D1: Check the form.  
 D2:  $a, \hat{a}, c \in G$   
 D3:  $v \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a, \hat{a}, c)$   
 D4:  $d = a^{x_1} + y_1 v \hat{a}^{x_2} + y_2 v$   
 D5:  $m \leftarrow c \cdot (a^{z_1} \hat{a}^{z_2})^{-1}$

SK  $\leftarrow (x_1, x_2, y_1, y_2, z_1, z_2)$   
 $e \leftarrow g^{x_1} \hat{g}^{x_2}, f \leftarrow g^{y_1} \hat{g}^{y_2},$   
 $h \leftarrow g^{z_1} \hat{g}^{z_2}$

Adv

$b \leftarrow_{\cup} \{0,1\}$   
 E1:  $u \leftarrow_{\cup} \mathbf{Z}_q$   
 E2:  $a^* \leftarrow g^u$   
 E3:  $\hat{a}^* \leftarrow \hat{g}^u$   
 E4:  $c^* \leftarrow h^u \cdot m_b$   
 E5:  $v^* \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a^*, \hat{a}^*, c^*)$   
 E6:  $d^* \leftarrow e^u f^{uv^*}$



$$\text{Advantage} = \left| \Pr[b = b'] - \frac{1}{2} \right|$$

# Game1

$(\Gamma [G, \hat{G}, g, q], hk, \hat{g}, e, f, h)$

Decryption Oracle

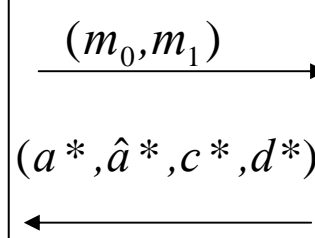
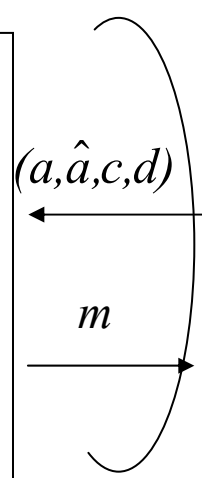
Encryption Oracle

D1: Check the form.  
 D2:  $a, \hat{a}, c \in G$   
 D3:  $v \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a, \hat{a}, c)$   
 D4:  $d = a^{x_1} + y_1 v \hat{a}^{x_2} + y_2 v$   
 D5:  $m \leftarrow c \cdot (a^{z_1} \hat{a}^{z_2})^{-1}$

SK  $\leftarrow (x_1, x_2, y_1, y_2, z_1, z_2)$   
 $e \leftarrow g^{x_1} \hat{g}^{x_2}, f \leftarrow g^{y_1} \hat{g}^{y_2},$   
 $h \leftarrow g^{z_1} \hat{g}^{z_2}$

Adv

$b \leftarrow_{\cup} \{0,1\}$   
 E1:  $u \leftarrow_{\cup} \mathbf{Z}_q$   
 E2:  $a^* \leftarrow g^u$   
 E3:  $\hat{a}^* \leftarrow \hat{g}^u$   
 E4':  $c^* \leftarrow a^{z_1} \hat{a}^{z_2} \cdot m_b$   
 E5:  $v^* \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a^*, \hat{a}^*, c^*)$   
 E6':  $d^* \leftarrow a^{*x_1} + y_1 v^* \cdot \hat{a}^{*x_2} + y_2 v^*$



$$\text{Advantage} = \left| \Pr[b = b'] - \frac{1}{2} \right|$$

# Game2

$(\Gamma [G, \hat{G}, g, q], hk, \hat{g}, e, f, h)$

Decryption Oracle

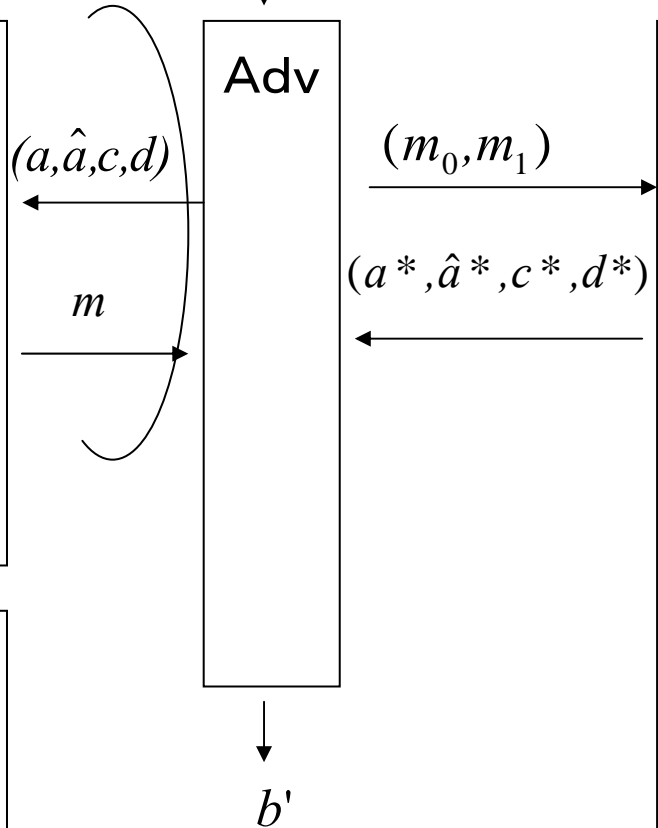
Encryption Oracle

D1: Check the form.  
 D2:  $a, \hat{a}, c \in G$   
 D3:  $v \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a, \hat{a}, c)$   
 D4:  $d = a^{x_1 + y_1 v} \hat{a}^{x_2 + y_2 v}$   
 D5:  $m \leftarrow c \cdot (a^{z_1} \hat{a}^{z_2})^{-1}$

SK  $\leftarrow (x_1, x_2, y_1, y_2, z_1, z_2)$   
 $e \leftarrow g^{x_1} \hat{g}^{x_2}, f \leftarrow g^{y_1} \hat{g}^{y_2},$   
 $h \leftarrow g^{z_1} \hat{g}^{z_2}$

Adv

$b \leftarrow_{\cup} \{0,1\}$   
 E1:  $u \leftarrow_{\cup} \mathbf{Z}_q$   
 E2:  $\alpha^* \leftarrow g^u$   
 E3':  $\hat{a}^* \leftarrow \hat{g}^{\hat{u}}, \hat{u} \leftarrow_{\cup} \mathbf{Z}_q \setminus \{u\}$   
 E4':  $c^* \leftarrow a^{z_1} \hat{a}^{z_2} \cdot m_b$   
 E5:  $v^* \leftarrow \text{HF}_{hk}^{\lambda, \Gamma}(a^*, \hat{a}^*, c^*)$   
 E6':  $d^* \leftarrow a^{*x_1 + y_1 v^*} \cdot \hat{a}^{*x_2 + y_2 v^*}$



$$\text{Advantage} = \left| \Pr[b = b'] - \frac{1}{2} \right|$$

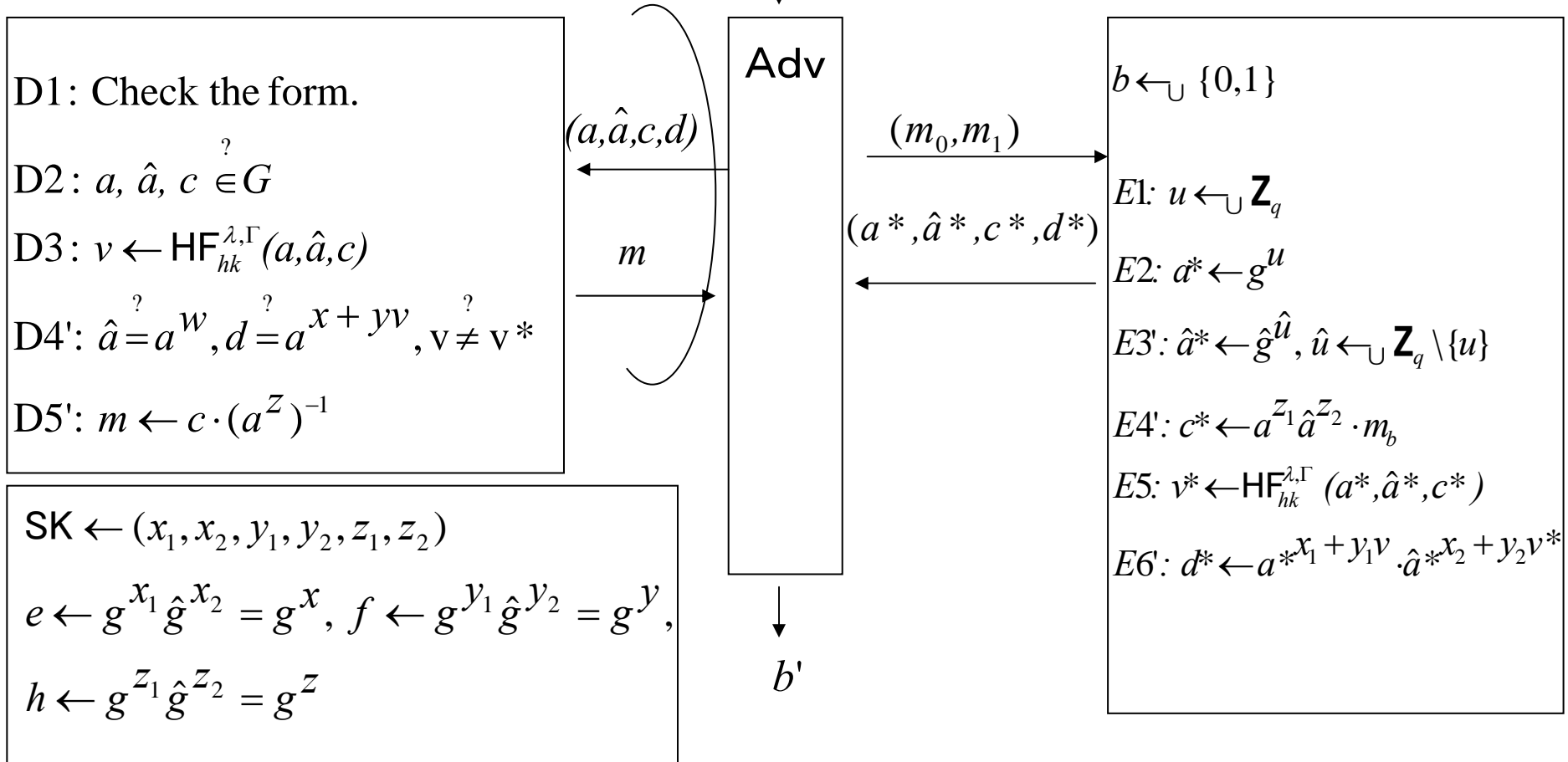


# Game3

$(\Gamma [G, \hat{G}, g, q], hk, \hat{g}, e, f, h)$

Decryption Oracle

Encryption Oracle



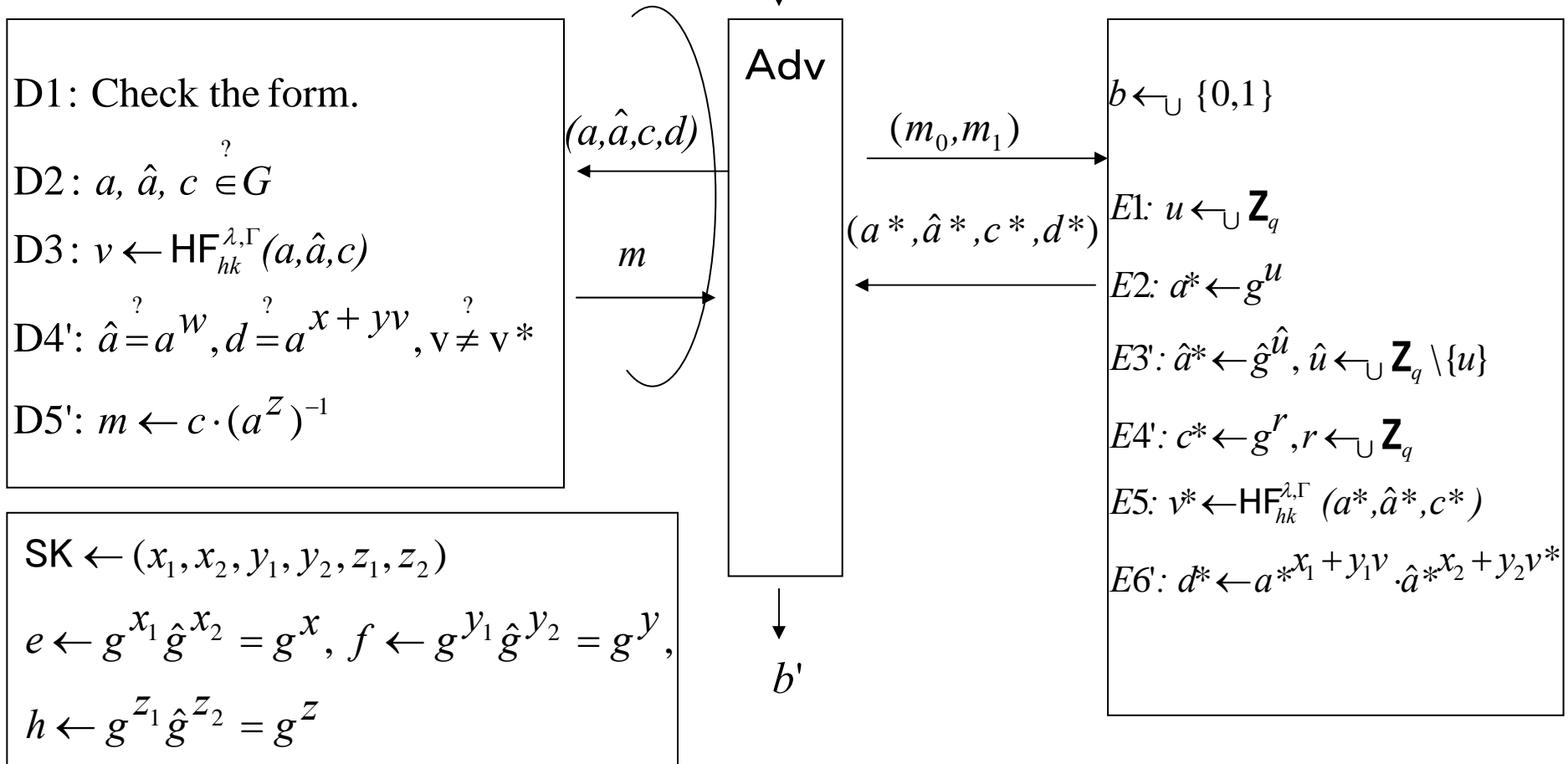
$$\text{Advantage} = \left| \Pr[b = b'] - \frac{1}{2} \right| \quad 17$$

# Game4

$(\Gamma [G, \hat{G}, g, q], hk, \hat{g}, e, f, h)$

Decryption Oracle

Encryption Oracle



$$\text{Advantage} = \left| \Pr[b = b'] - \frac{1}{2} \right| = 0$$

# Universal Composability (UC): An Example of Simulation-Based Formulation



# Universal Composability (UC)



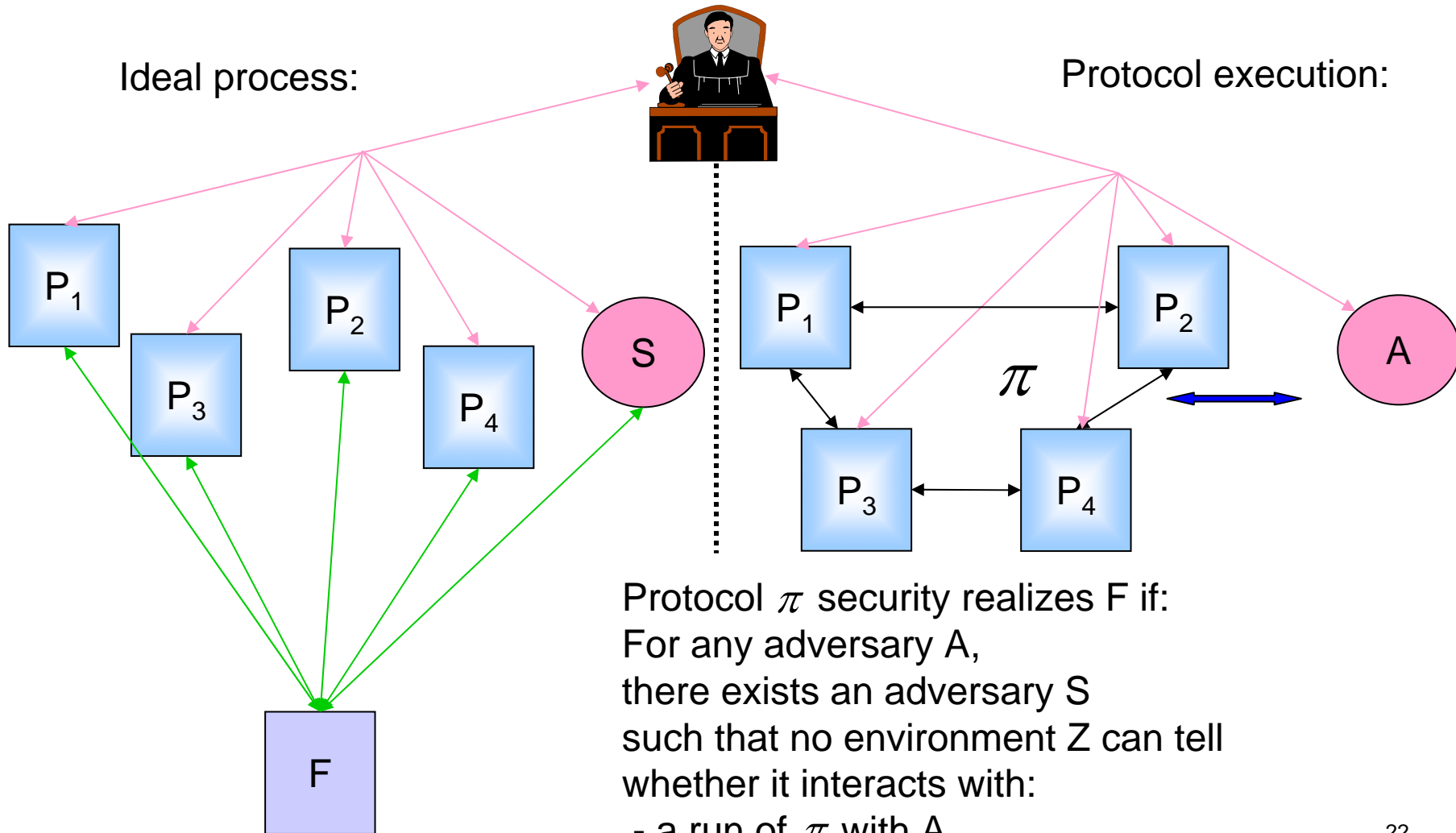
- In 2001, Ran Canetti proposed the concept of UC, which has been extensively advanced by many researchers and is being continued.
- UC guarantees the strongest security; i.e., the security is **preserved under any composition and environment**.
- The (UC) security of any cryptographic functionalities can be formalized **in a unified manner, for primitives and protocols**.

# How Security Is Defined in UC (1):

1. Write an “**ideal functionality**”  $F$  that captures the requirements of the task at hand.

$F$  is a “code for an ideal trusted service on the net”. (  $F$  captures both correctness and secrecy requirements.)

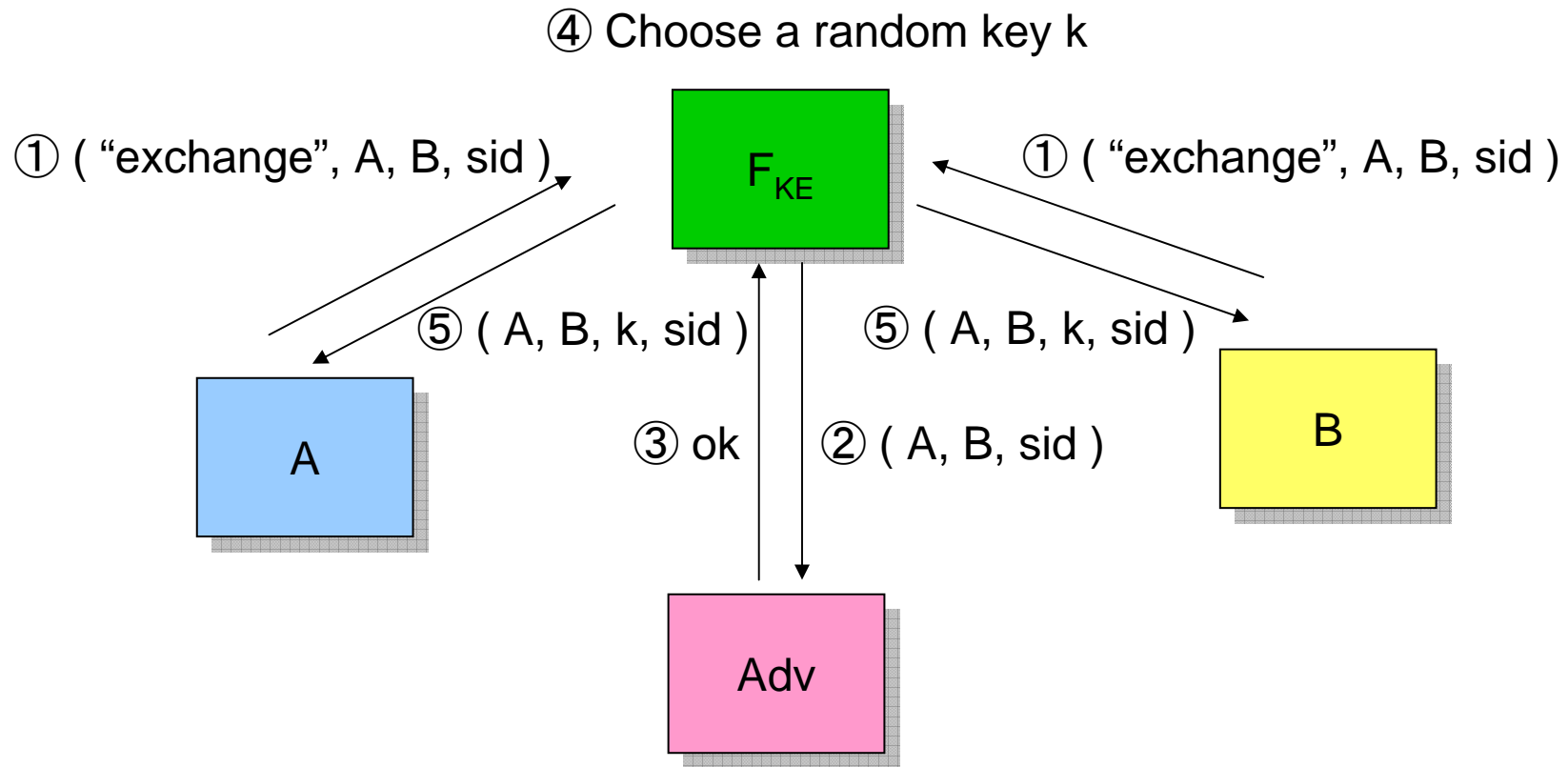
# How Security Is Defined in UC (2):



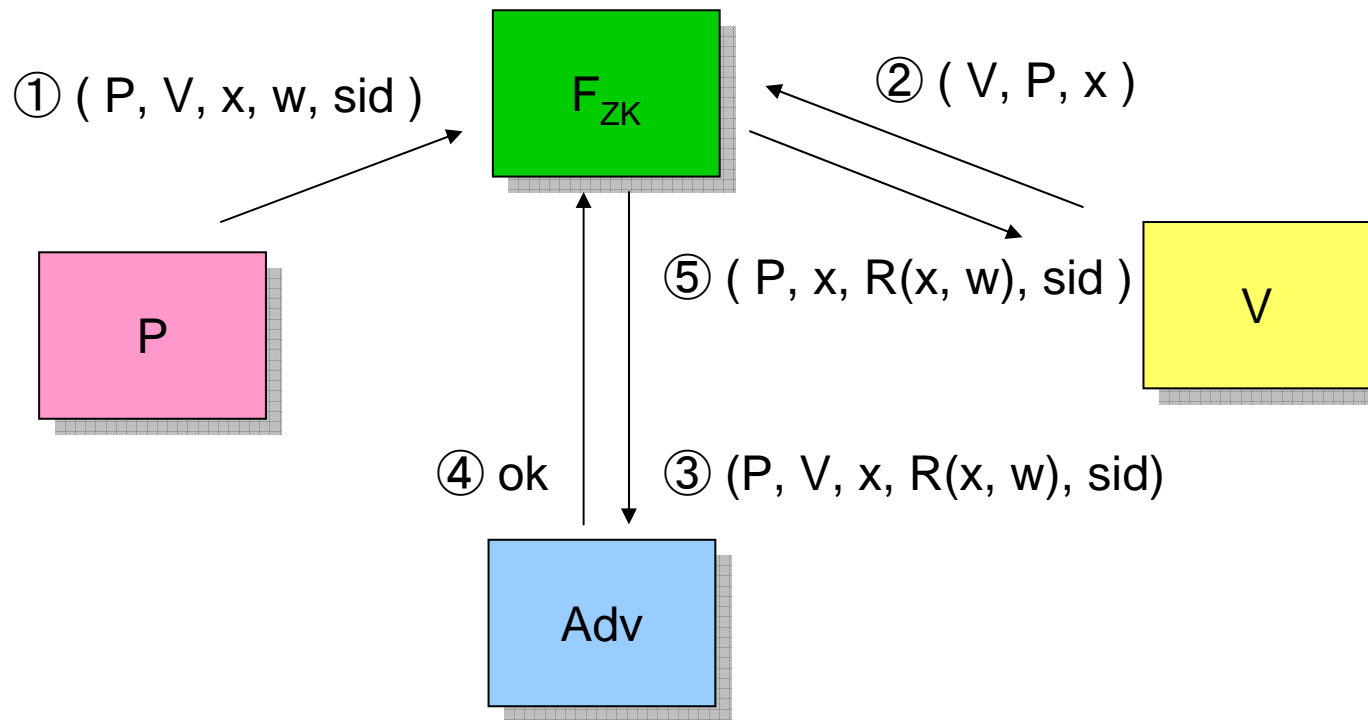
Protocol  $\pi$  security realizes F if:  
 For any adversary A,  
 there exists an adversary S  
 such that no environment Z can tell  
 whether it interacts with:

- a run of  $\pi$  with A
- an ideal run with F and S

# Example: The Key-Exchange Functionality $F_{KE}$



# Example: The ZK Functionality (for Relation R)



Note:

$V$  is assumed that it accepts only if  $R(x, w) = 1$  (soundness)

$P$  is assumed that  $V$  learns nothing but  $R(x, w)$  (Zero-Knowledge)



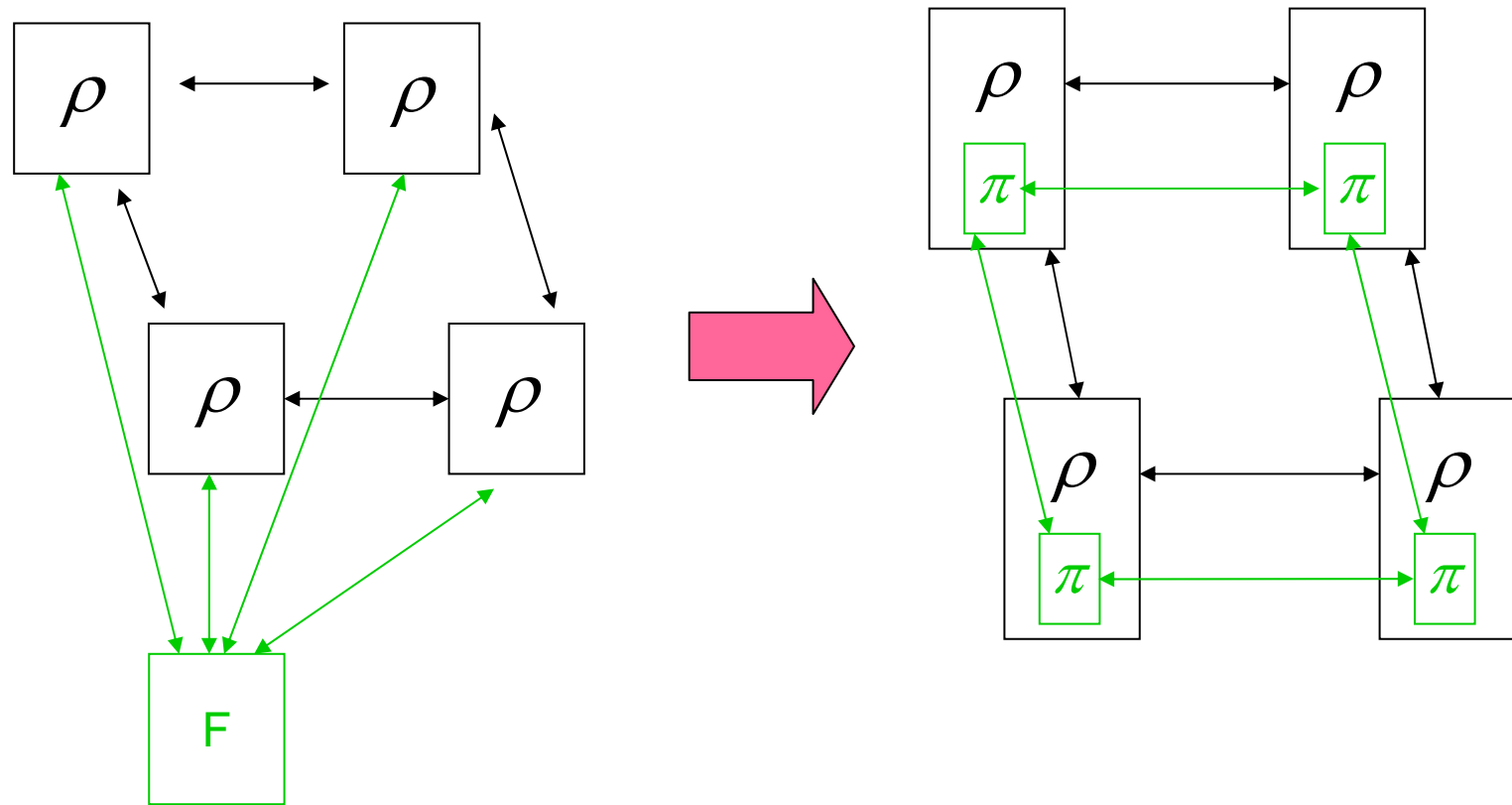
# The Composition Operation

(originates with [Micali-Rogaway91])

- Start with:
  - Protocol  $\rho^F$  that uses ideal calls to F
  - Protocol  $\pi$  that securely realizes F construct the composed protocol  $\rho^\pi$ .
  - Each call to F is replaced with an invocation of  $\pi$ .
  - Each value returned from  $\pi$  is treated as coming from F.

Note: In  $\rho^F$  parties call many copies of F.  
In  $\rho^\pi$  many copies of  $\pi$  run concurrently.

# The Composition Operation



# The Universal Composition Theorem:

[Canetti 01]

- Protocol  $\rho^\pi$  “emulates” protocol  $\rho^F$ .
  - That is, for any adversary  $A$  there exists a simulator  $S$  such that no  $Z$  can tell whether it is interacting with  $(\rho^\pi, A)$  or with  $(\rho^F, S)$ .
- Corollary: If  $\rho^F$  security realizes function  $G$  then so does  $\rho^\pi$ .

(weaker composition theorems were proven in e.g. [Micali-Rogaway91, Canetti00, Dodis-Micali00, Pfitzmann-Schunter-Waidner00].)



# Implications of the UC Theorem

1. Can design and analyze protocols in a modular way:

- Partition a given task  $T$  to simpler sub-tasks  $T_1 \dots T_k$
- Construct protocols for realizing  $T_1 \dots T_k$ .
- Construct a protocol for  $T$  assuming ideal access to  $T_1 \dots T_k$ .
- Use the composition theorem to obtain a protocol for  $T$  from scratch.

*(Analogues to subroutine composition for correctness of programs, but with an added security guarantee.)*



# Implications of the UC Theorem

2. Assume protocol  $\pi$  security realizes ideal functionality  $F$ . Can deduce security of  $\pi$  in any multi-execution environment:

As far as the environment is concerned, interacting with (multiple copies of)  $\pi$  is equivalent to interacting with (multiple copies of)  $F$ .

(For instance, a protocol that realizes the ZK functionality is guaranteed to withstand all the attacks we discussed, and more.)

# An Example of Formal Method Approach: Dolev-Yao Model



# Dolev-Yao Model

- Expressions:

$K$  key (symbol sequence)

$i$  bit (bit sequence)

$(M,N)$  pair ( $M, N$ : expressions)

$\{K\}_K$  encryption ( $K$ : key)

- $M \vdash N$  implies “ $N$  is calculated from  $M$ ”.

- Patterns: implies “available information”.

$p(M,T)$  information available from key set  $T$  and  $M$ .

$$p(\{M\}_K, T) = M \quad \text{if } K \in T$$

$\Delta$  otherwise ( $\Delta$  implies “unavailable”)

$$\text{pattern}(M) = p(M, \{K \mid M \vdash K\})$$

$$\text{pattern}(\{\{\{K1\}_{K2}\}_{K3}, K3\}) = (\{\Delta\}_{K3}, K3)$$

- Equivalence:

$$M \equiv N \quad \text{iff} \quad \text{pattern}(M) = \text{pattern}(N)$$

$$(\{\{K1\}_{K2}\}_{K3}, K3) \equiv (\{\{0\}_{K2}\}_{K3}, K3)$$

# A New Trend: Merging Computational Approach and Formal Method Approach





# How to Apply the Formal Methods to the Computational Approach

- **Aim:** **Simplify or (partially) automate** the computational approach security proof
- **Necessary Condition:** **Soundness** of the formal method proof to the computational approach security
- **Possible Areas to Apply:**
  - (Area 1) **Functionality description** and security of **hybrid model** (e.g., the security of a protocol composed of ideal functionalities)
  - (Area 2) The UC security of a **primitive scheme/protocol** realizing an ideal functionality
  - (Area 3) Formalize and automate the **game hopping technique**

# Area1: Apply the Formal Methods to Proving the Security of Hybrid Models (1)

## 1. Abadi-Rogaway 2000

- Shows that the security proof on a formal method (like the Dolev-Yao model) guarantees the security of symmetric-encryption-based protocols on the computational approach (i.e., shows the **soundness of the formal method** to the computational approach).

## 2. Canetti-Herzog 2006

- Shows that the security proof on a formal method (like the Dolev-Yao model) guarantees **the UC security of a hybrid model**. Also shows the UC security of a key exchange protocol using a **theorem-proving tool**.

# Area1: Apply the Formal Methods to Proving the Security of Hybrid Models (2)

## 1. Abadi-Rogaway 2000

- Introduces a special symbol,  $\{M\}_k$ , that means (ideal) encryption functionality in the Dolev-Yao like formal method.
  - ⇒ If this encryption functionality is considered to be a UC's ideal functionality, this result is very akin to that by Canetti-Herzog.

## 2. Canetti-Herzog 2006

- Introduces a special symbol,  $\{M\}_{PK}$ , that means (ideal) public-key encryption functionality in the Dolev-Yao like formal method.
- Introduces ideal functionality  $F_{CPKE}$  of public-key encryption in the computational model or UC
  - ⇒ An ideal functionality in UC can be corresponded to a special symbol in the Dolev-Yao like formal method.

# (Area 2) Apply the Formal Methods to Proving the UC Security of a Primitive Scheme/Protocol

Canetti-Cheung-Kaynar-Liskov-Lynch-Pereira-Segala 2006

- Uses a probabilistic **I/O automaton (PIOA)** in place of symbols/reasoning-rules with Dolev-Yao, and proves the **UC security of a primitive** (OT) by the formal method approach based on PIOA.  
⇒ A step to **(partially) automating** the UC security of a primitive.

# (Area 3) Formalize and Automate the Game Hopping Technique

Blanchet-Pointcheval 2006

- This result applies a formal method to the computational security **without ideal functionalities/symbols** (instead, **assuming a computational assumption**), while the other results use ideal functionalities/symbols.
- Applies a formal method to the **game-hopping technique** in the computational approach. Extracts rules to make a sequence of the games, and generates an automated proof on a process calculus.
  - ⇒ They **used a process calculus tool and automated** the security proof of some concrete digital signature schemes like FDH-RSA.

# Summary



- There are two approaches to prove the security of cryptographic schemes/protocols. One is **computational approach**, which is widely accepted in the **cryptology community** as standard security definitions. The other is the **formal method approach**, which has been studied in the **formal method community**,
- Recent advances of the computational approach are **clarifying the relationship** between the two approaches and **promoting to merge them**. One is the **UC** framework and the other is the **game-hopping technique**.
- By merging the two approaches, it is expected to **(partially) automate** the security proof in the computational approach (or widely accepted in the cryptology community as **standard security definitions**).